

Upper Bounding the Performance of Arbitrary Finite LDPC Codes on Binary Erasure Channels

*An efficient exhaustion algorithm for
error-prone patterns*

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Department of Electrical Engineering, Princeton University



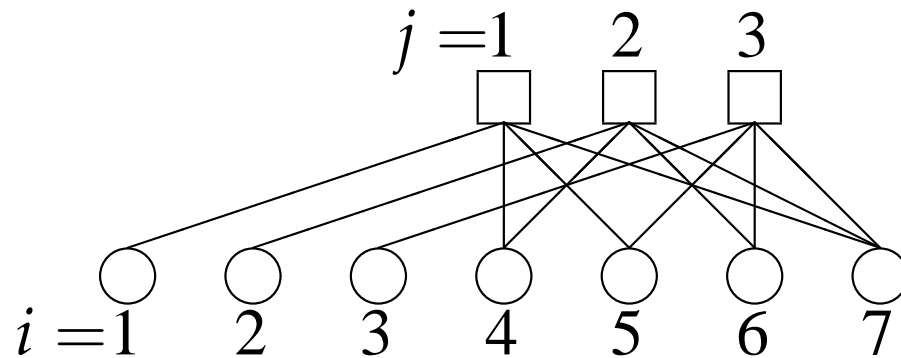
Content

- Brief introduction
 - Stopping sets in erasure channels. Hardness & Applications.
 - Existing approaches for upper / lower bounding the **fixed code performance**:
- A **tree-based** upper bound for **bit error rates**.
- Frame error rates and trapping sets.



Stopping Sets (SSs)

- Definition: a set of variable nodes such that the induced graph contains no check node of degree 1.
- Example: The binary (7,4) Hamming Code



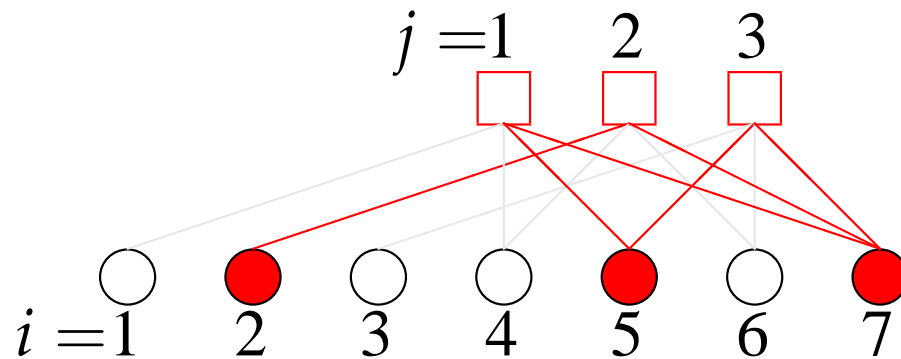
(2, 5, 7) a valid **codeword** vs. (4, 6, 7) a pure **stopping set**.

Hamming distance vs. Stopping distance



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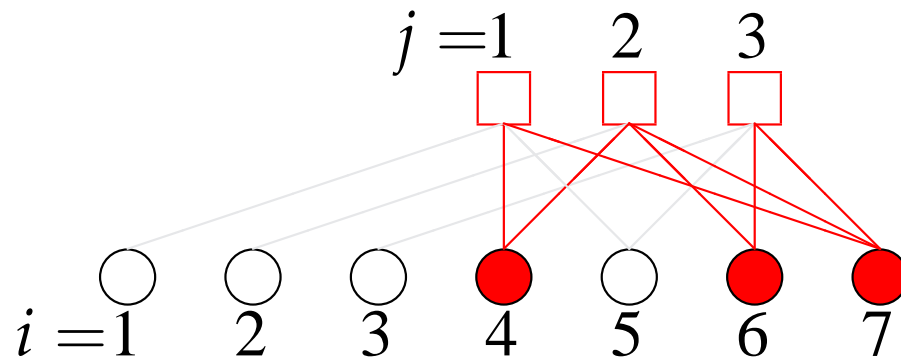
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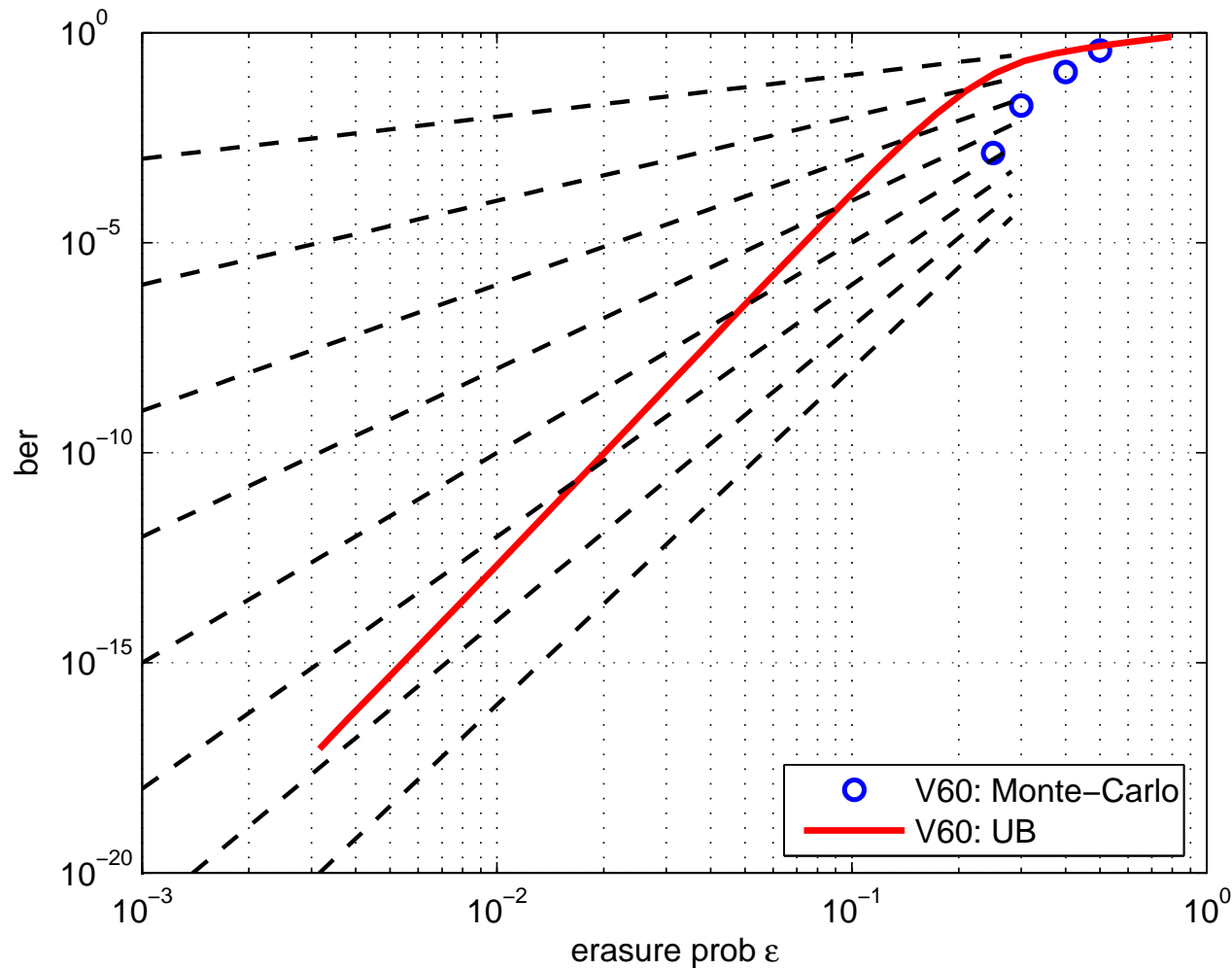
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Hamming distance vs. Stopping distance



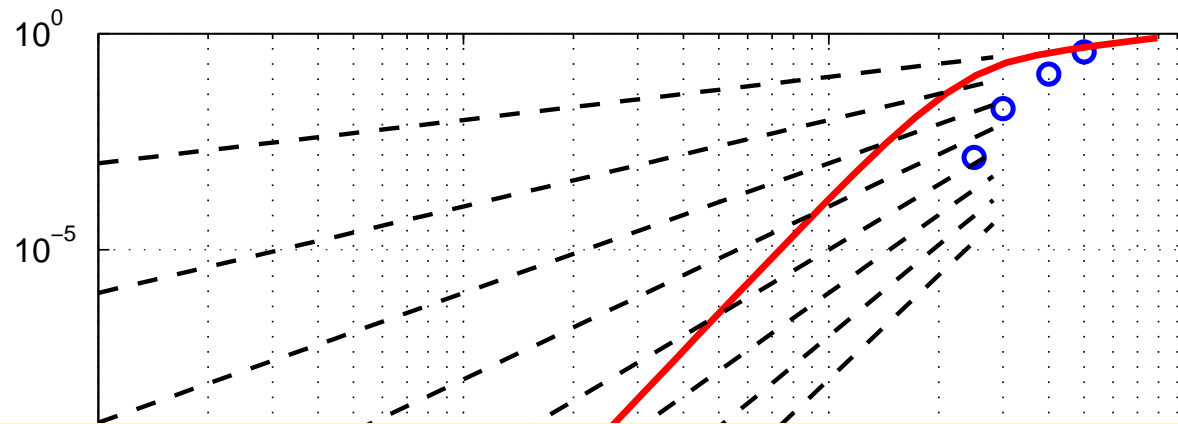
Upper Bounds vs. Exhausting Minimum Stopping Sets

An $n = 72$ regular (3,6) LDPC code



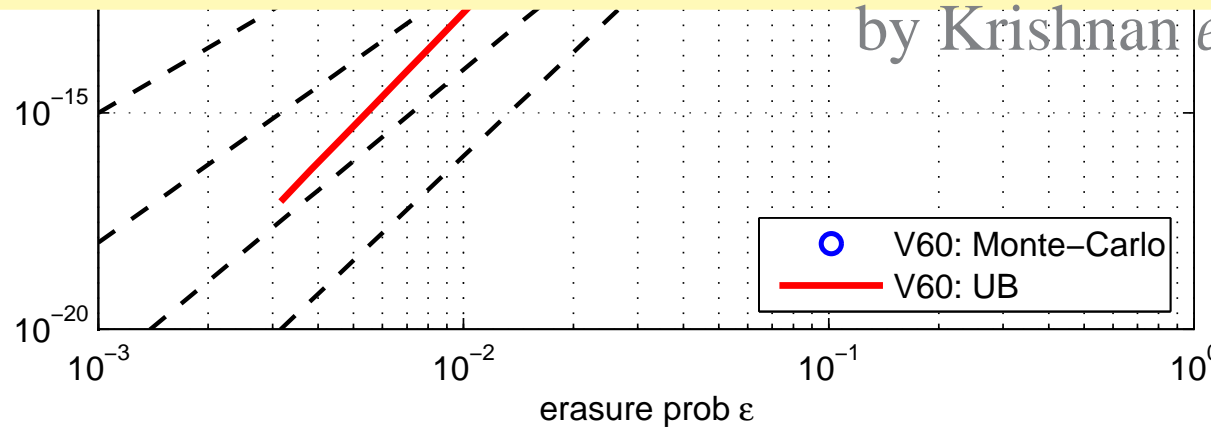
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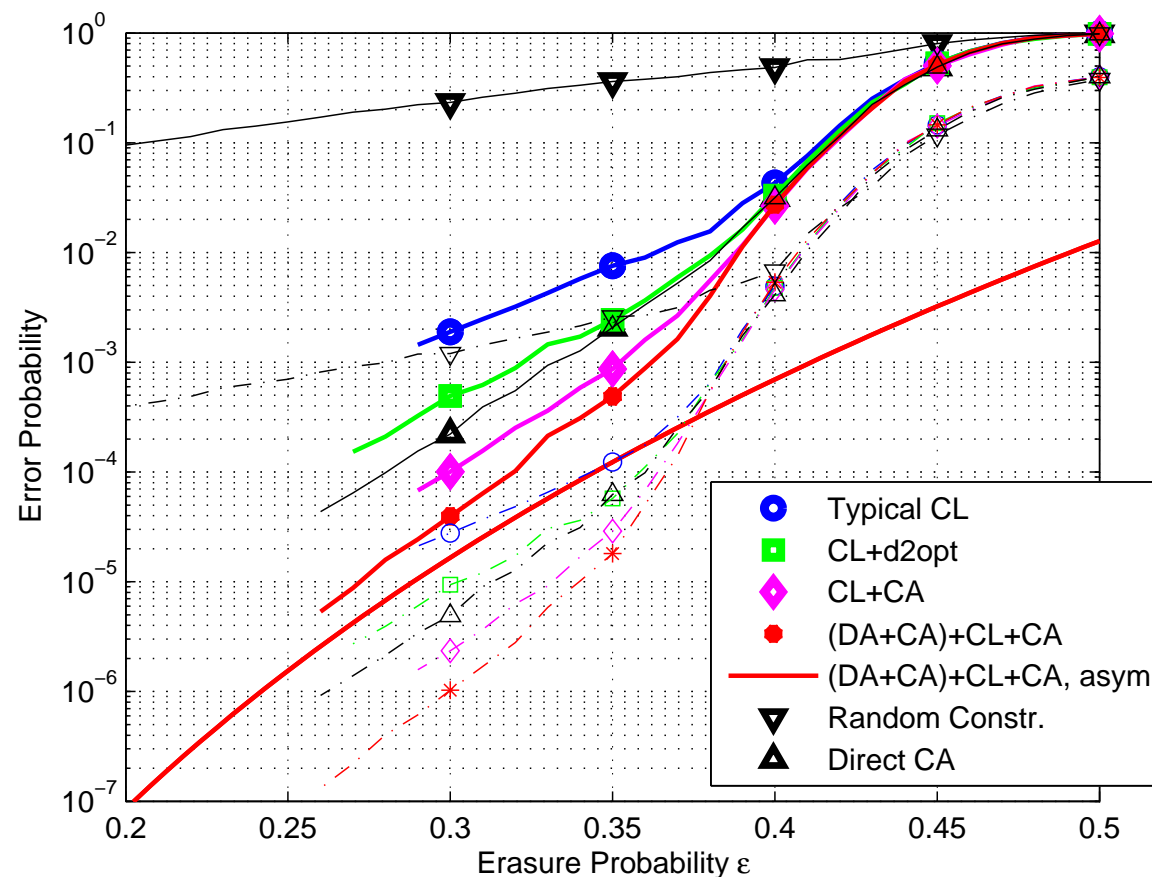
An NP-Complete Problem !!

by Krishnan *et al.*



A Hard but Useful Problem

- As an upper bound: Guaranteed worst performance for extremely low ber regimes.
- As an SS exhaustion algorithm: Code annealing [Wang 06].

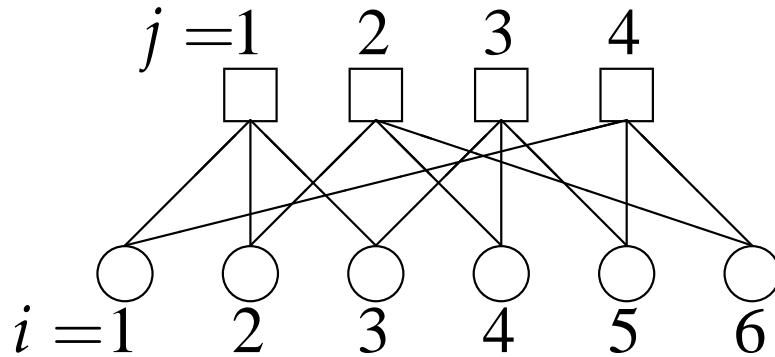


Existing Approaches

- **Ensemble analysis:** [Di *et al.* 02] avg. ber and FER curves, [Amraoui *et al.* 04] the scaling law for water fall regions.
- **Fixed Code analysis:** [Holzlöhner *et al.* 05] dual adaptive importance sampling, [Stepanov *et al.* 06] instanton method
 - **Enumerating bad patterns:** [Richardson 03] error floors of LDPC codes, [Yedidia *et al.* 01] projection algebra, [Hu *et al.* 04] the minimum distance of LDPC codes.
 - They are all **inexhaustive enumeration.**



The Bit-Oriented Detection



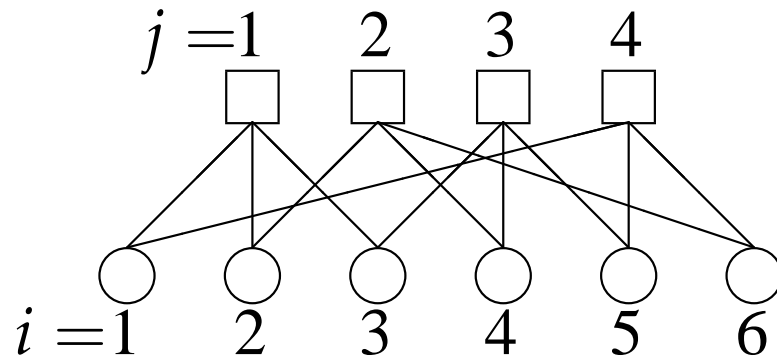
Using

1: for erasure

0: for non-erasure



The Bit-Oriented Detection



$$f_{2,0} = x_2$$

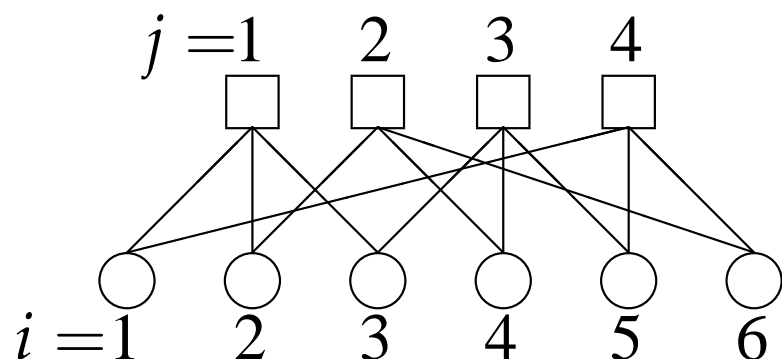
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The Bit-Oriented Detection



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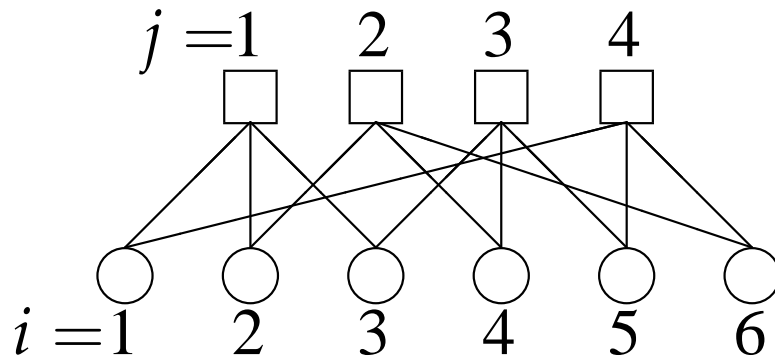
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$$f_{2,0} = x_2$$

$$f_{2,1} = x_2(x_1 + x_3)(x_4 + x_6)$$



The Bit-Oriented Detection



Using

1: for erasure

0: for non-erasure

$$f_{2,0} = x_2$$

$$f_{2,1} = x_2(x_1 + x_3)(x_4 + x_6)$$

$$f_{2,2} = x_2(x_1(f_{5 \rightarrow 4,1} + f_{6 \rightarrow 4,1}) + x_3(f_{4 \rightarrow 3,1} + f_{5 \rightarrow 3,1}))$$

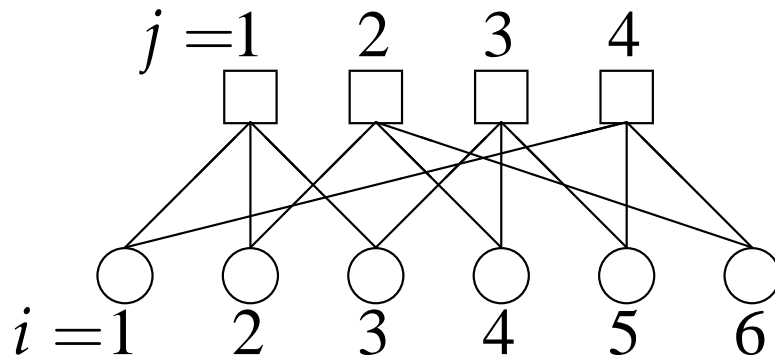
$$\cdot (x_4(f_{3 \rightarrow 3,1} + f_{5 \rightarrow 3,1}) + x_6(f_{1 \rightarrow 4,1} + f_{5 \rightarrow 4,1}))$$

$$= x_2(x_1(x_5 + x_6) + x_3(x_4 + x_5))$$

$$\cdot (x_4(x_3 + x_5) + x_6(x_1 + x_5))$$



The Bit-Oriented Detection



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$$f_{2,0} = x_2$$

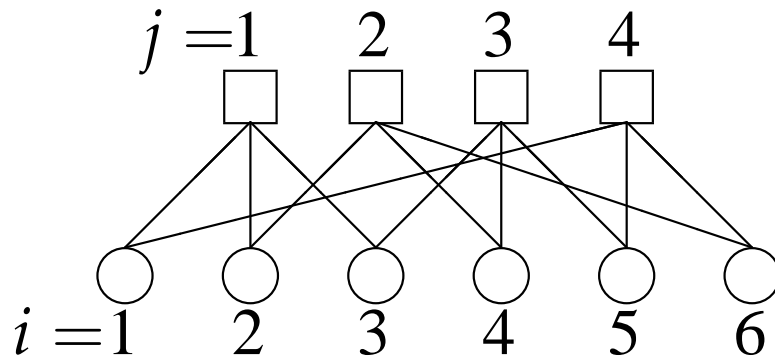
$$f_{2,1} = x_2(x_1 + x_3)(x_4 + x_6)$$

$$\begin{aligned} f_{2,2} &= x_2(x_1(f_{5 \rightarrow 4,1} + f_{6 \rightarrow 4,1}) + x_3(f_{4 \rightarrow 3,1} + f_{5 \rightarrow 3,1})) \\ &\quad \cdot (x_4(f_{3 \rightarrow 3,1} + f_{5 \rightarrow 3,1}) + x_6(f_{1 \rightarrow 4,1} + f_{5 \rightarrow 4,1})) \\ &= x_2(x_1(x_5 + x_6) + x_3(x_4 + x_5)) \\ &\quad \cdot (x_4(x_3 + x_5) + x_6(x_1 + x_5)) \end{aligned}$$

$$f_2 := \lim_{l \rightarrow \infty} f_{2,l} = f_{2,2}$$



The Bit-Oriented Detection



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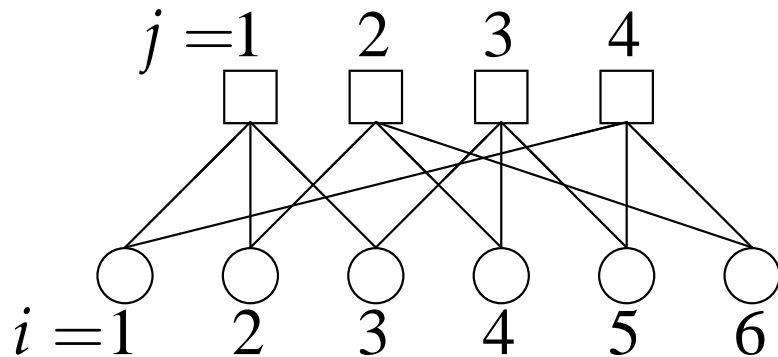
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$$p_2 = E\{f_2\}$$



The Bit-Oriented Detection



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$$f_{2,1} = x_2(x_1 + x_3)(x_4 + x_6)$$

$$f_{2,2} = x_2 (x_1(f_{5 \rightarrow 4,1} + f_{6 \rightarrow 4,1}) + x_3(f_{4 \rightarrow 3,1} + f_{5 \rightarrow 3,1})) \\ \cdot (x_4(f_{3 \rightarrow 3,1} + f_{5 \rightarrow 3,1}) + x_6(f_{1 \rightarrow 4,1} + f_{5 \rightarrow 4,1}))$$

$$= x_2 (x_1(x_5 + x_6) + x_3(x_4 + x_5)) \\ \cdot (x_4(x_3 + x_5) + x_6(x_1 + x_5))$$

$$f_2 := \lim_{l \rightarrow \infty} f_{2,l} = f_{2,2} \quad \text{Asymptotically, no repeated variables}$$

$$p_2 = E\{f_2\}$$



Enumeration-Based LB & UB

$$\begin{aligned} f_2 &= x_2 (x_1(x_5 + x_6) + x_3(x_4 + x_5)) (x_4(x_3 + x_5) + x_6(x_1 + x_5)) \\ &= x_1x_2x_6 + x_2x_3x_4 + x_1x_2x_4x_5 + x_2x_3x_5x_6 \end{aligned}$$

Each product term corresponds to an **irreducible stopping set**.



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- LB: Graph-based search [Richardson 03], iteration-based relaxation [Yedidia *et al.* 01]

$$f_{2, \text{LB}_a} = x_2x_3x_4$$

$$f_{2, \text{LB}_b} = x_1x_2x_4x_5$$

$$\text{LB}_a = E\{f_{2, \text{LB}}\} = \epsilon^3$$

$$\text{LB}_b = E\{f_{2, \text{LB}_b}\} = \epsilon^4$$



Enumeration-Based LB & UB

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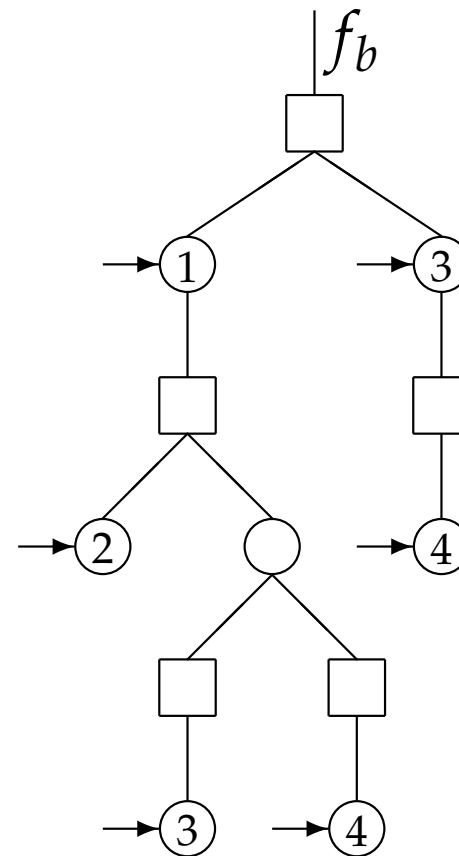
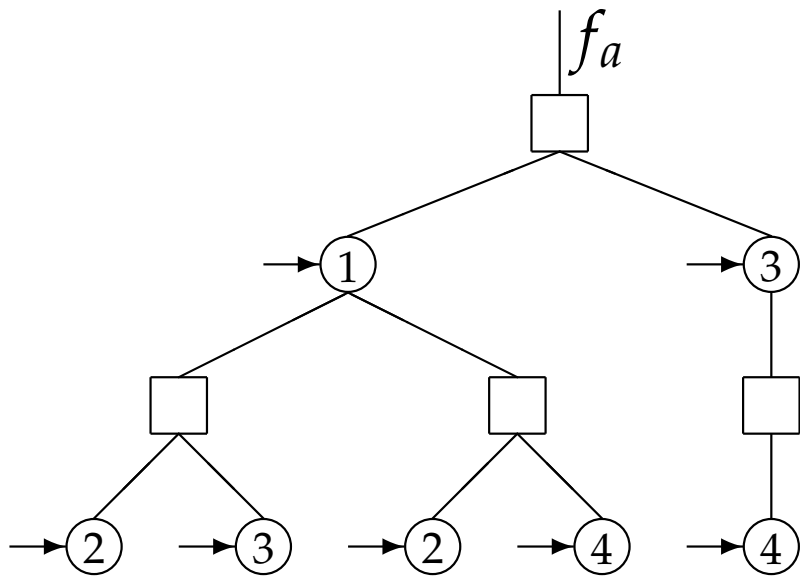
$$\begin{aligned}f_{2, \text{LB}_a} &= x_2x_3x_4 & f_{2, \text{LB}_b} &= x_1x_2x_4x_5 \\ \text{LB}_a &= E\{f_{2, \text{LB}}\} = \epsilon^3 & \text{LB}_b &= E\{f_{2, \text{LB}_b}\} = \epsilon^4\end{aligned}$$

- UB: Iteration-based relaxation [Yedidia *et al.* 01]

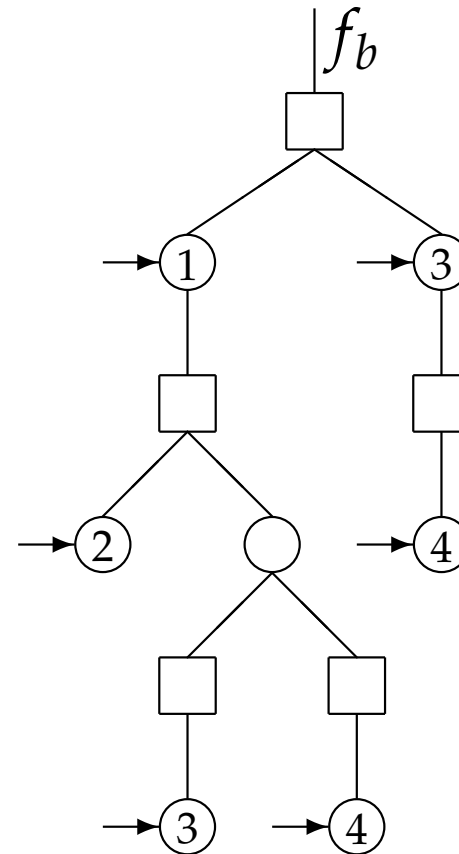
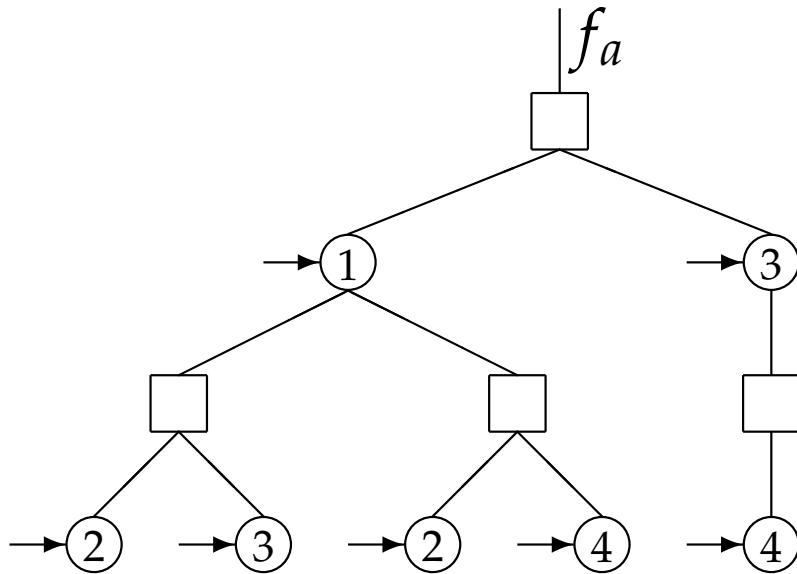
$$\begin{aligned}f_{2,2} &= x_2x_3x_4 + x_1x_2x_4x_5 + x_1x_2x_6 + x_2x_3x_5x_6 \\ &\leq x_2\mathbf{1}x_4 + \mathbf{1}x_2x_4\mathbf{1} + \mathbf{1}x_2x_6 + x_2\mathbf{1} \cdot \mathbf{1}x_6 = x_2x_4 + x_2x_6 \\ \text{UB} &= 2\epsilon^2 - \epsilon^3\end{aligned}$$



An Upper Bound



An Upper Bound

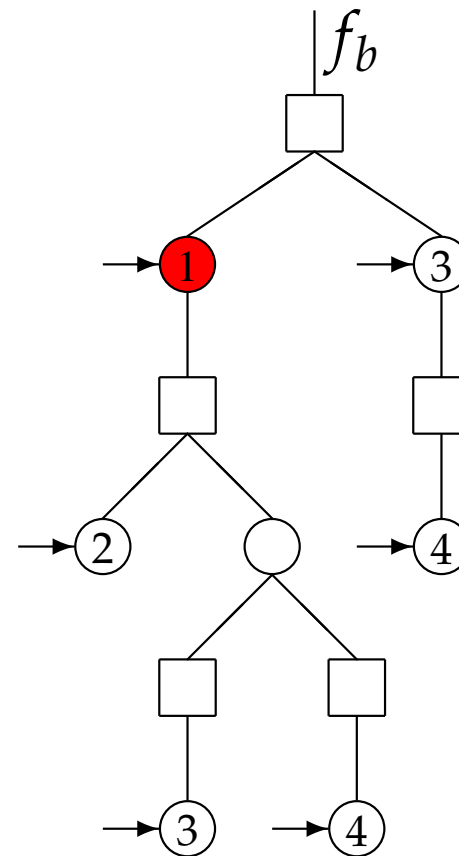
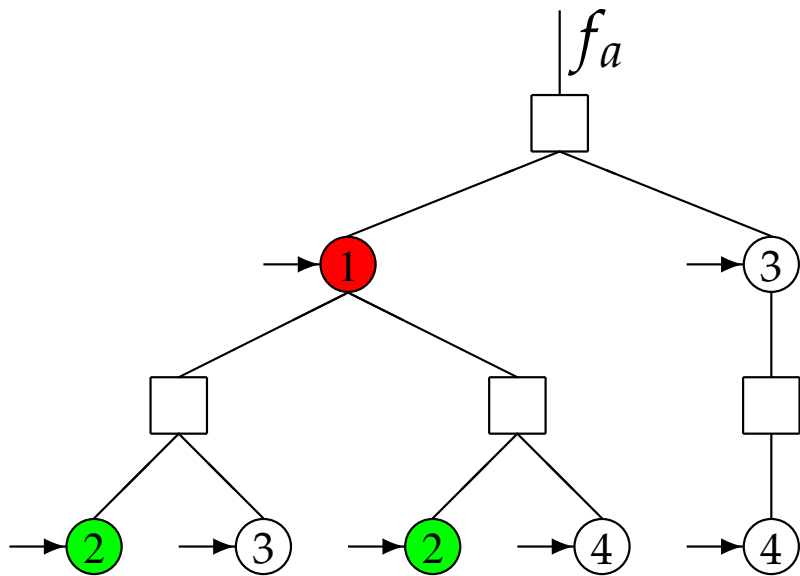


$$f_a = f_b$$

$$\mathcal{E}_{DE}\{f_a\} < p_e = \mathbb{E}\{f_a\} = \mathbb{E}\{f_b\} \leq \mathcal{E}_{DE}\{f_b\}$$



An Upper Bound

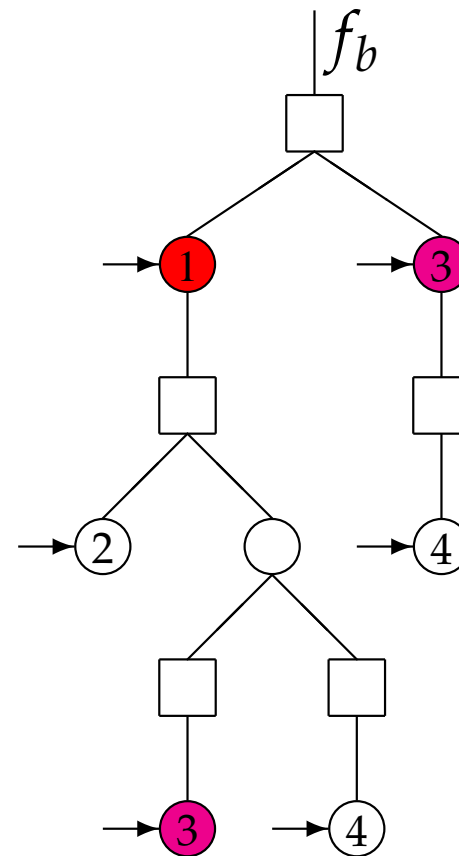
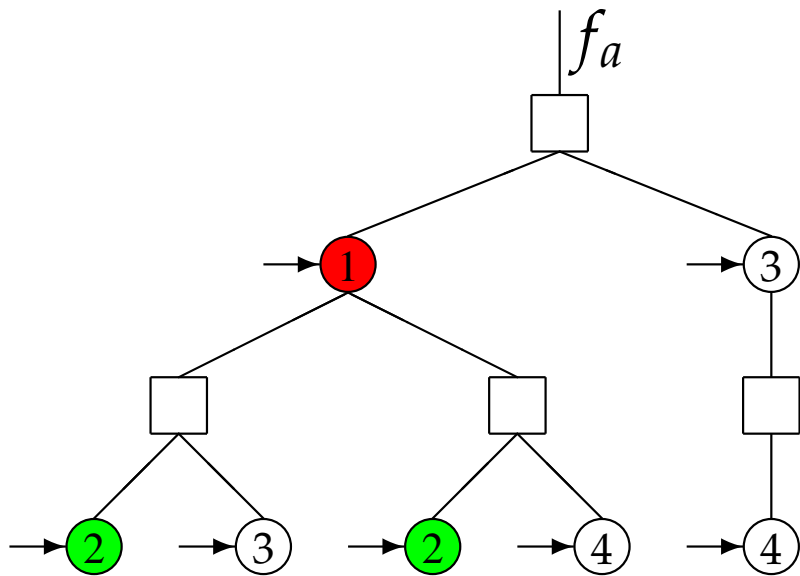


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An Upper Bound (Cont'd)

Theorem 1 *Suppose all messages entering any variable node are independent, or equivalently, the **youngest common ancestors** of all pairs of repeated bits are **check nodes**.*

$$\mathcal{E}_{DE}\{f\} \geq \mathbb{E}\{f\}$$

Theorem 2 *This upper bound is tight in order.*

$$\mathcal{E}_{DE}\{f\}(\epsilon) = O(\mathbb{E}\{f\}(\epsilon)), \quad \forall \epsilon$$



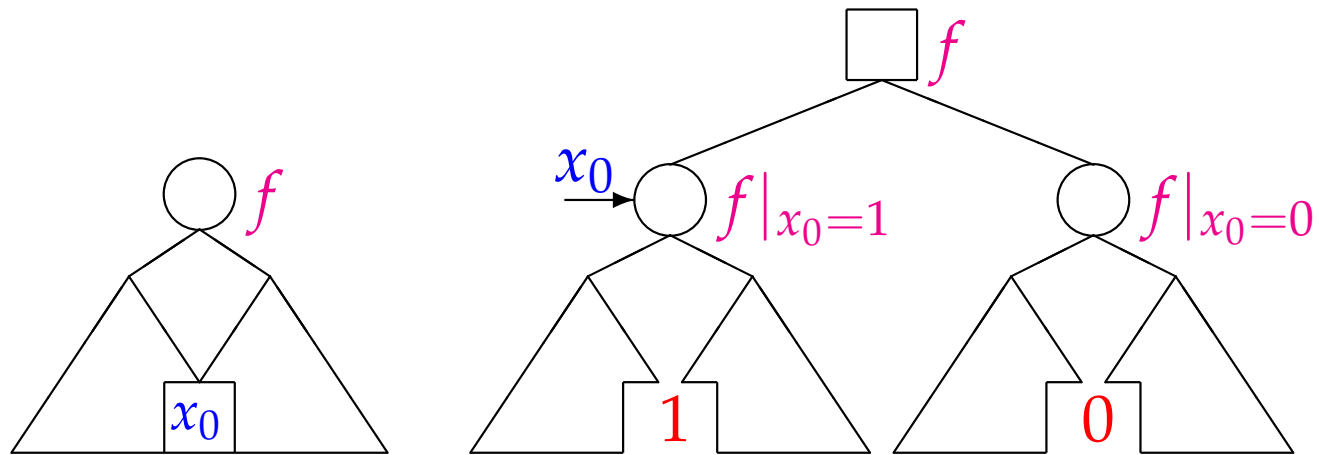
A Pivoting Rule

$$f = x_0 \cdot f|_{x_0=1} + f|_{x_0=0}$$



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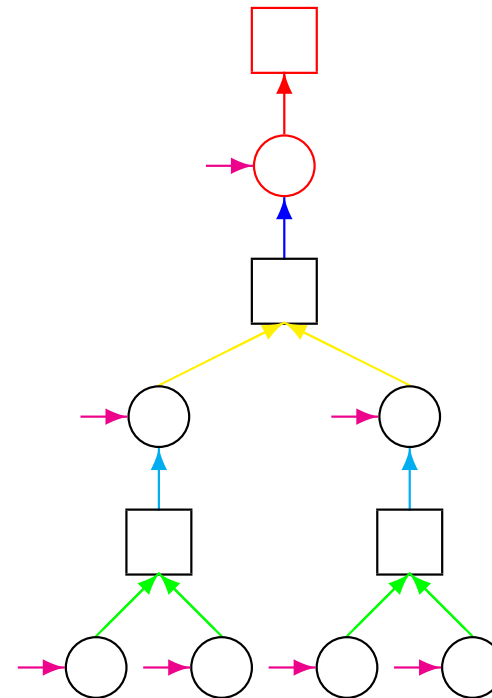
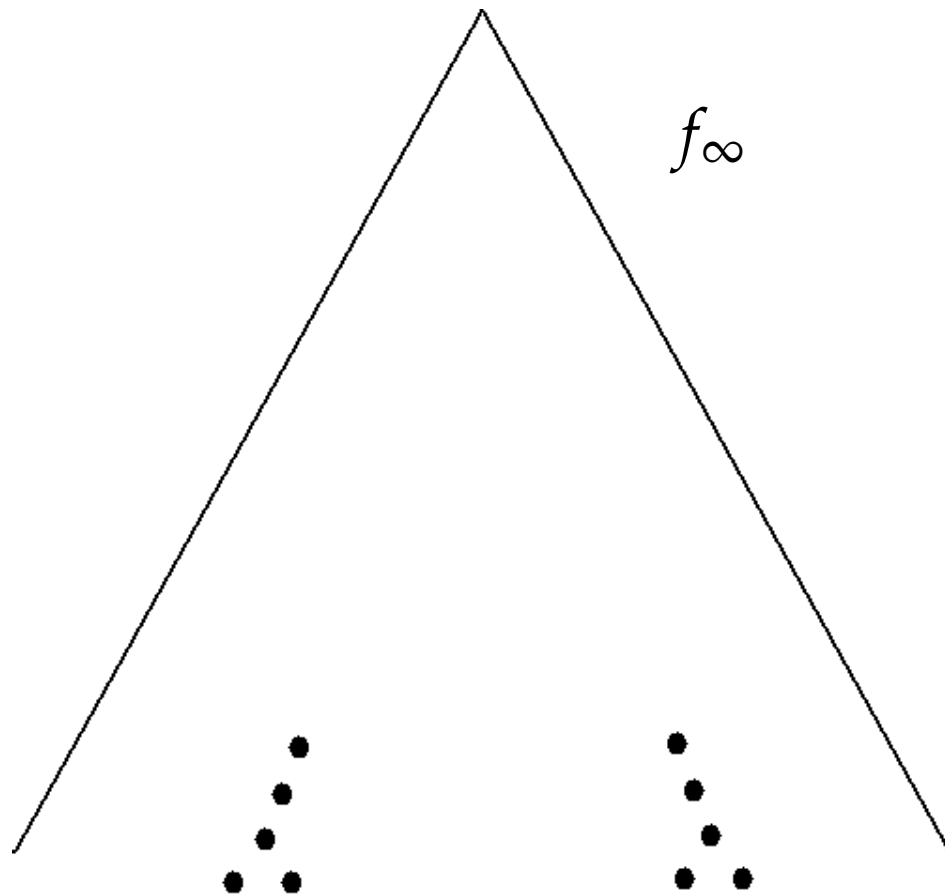


(a) Original

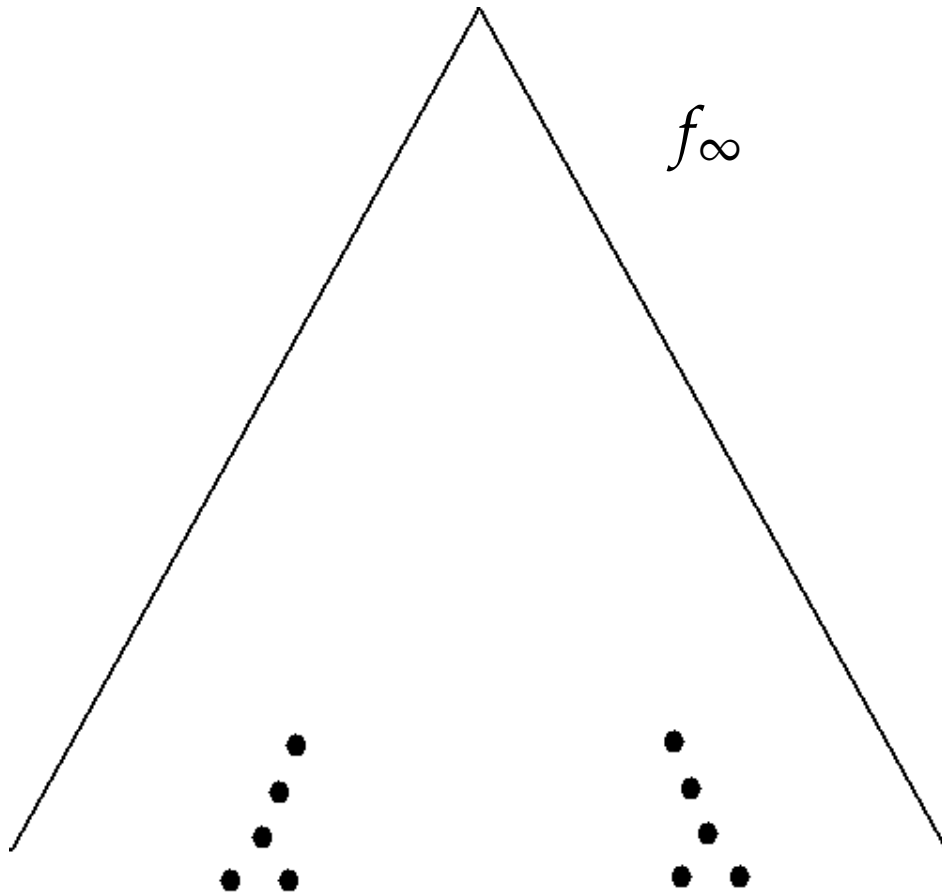
(b) Decoupled



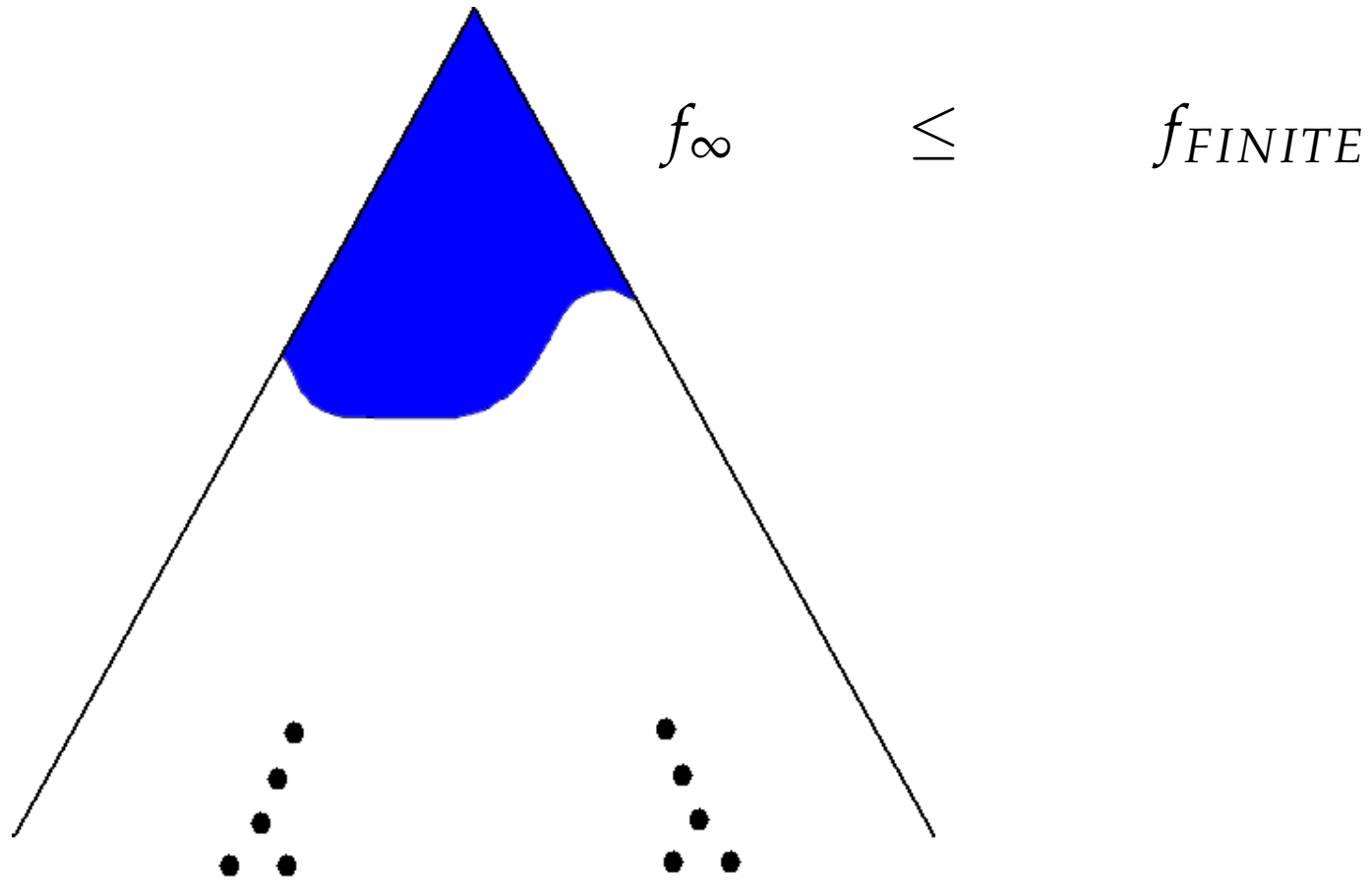
The Two-Stage Algorithm



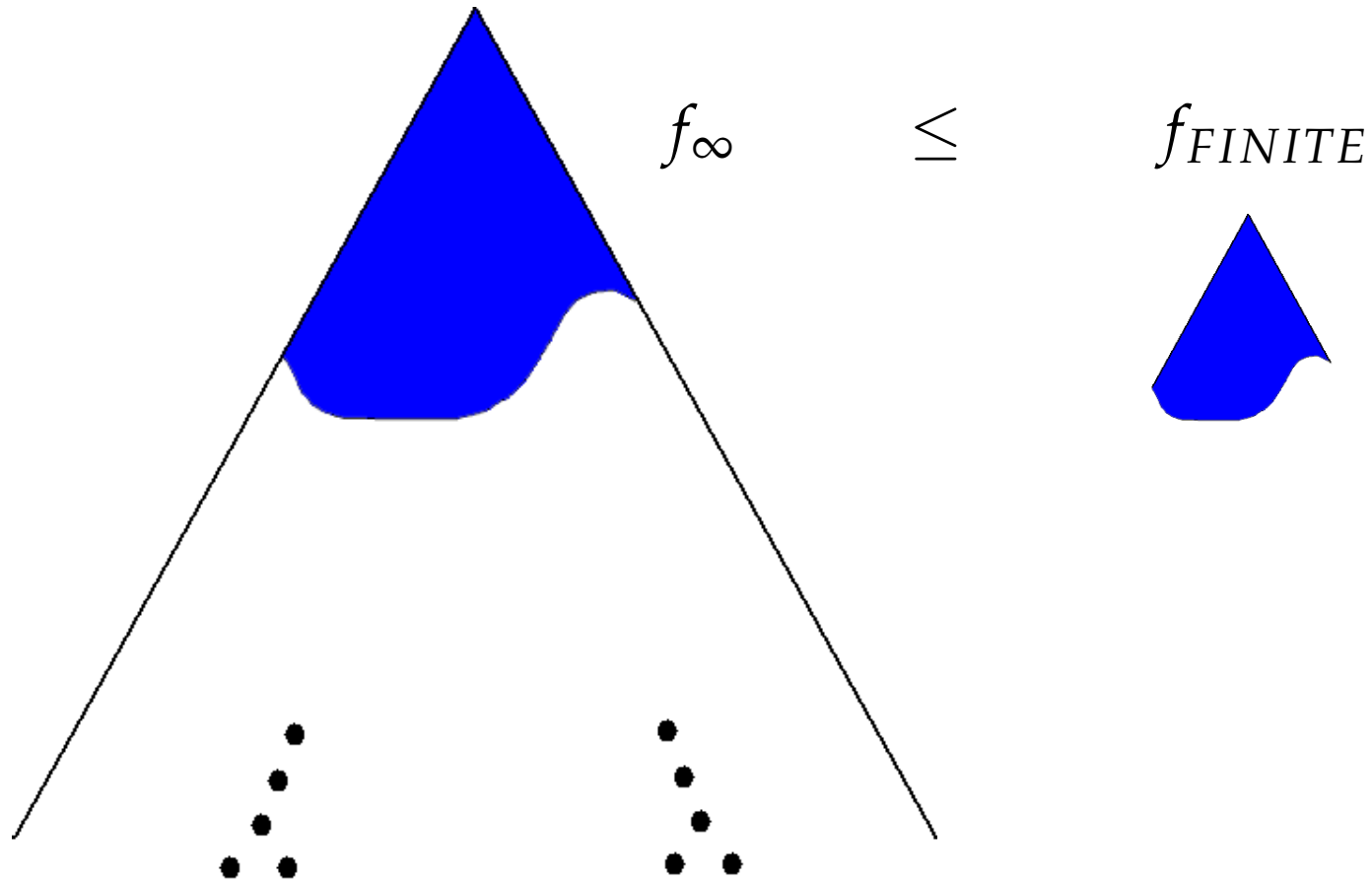
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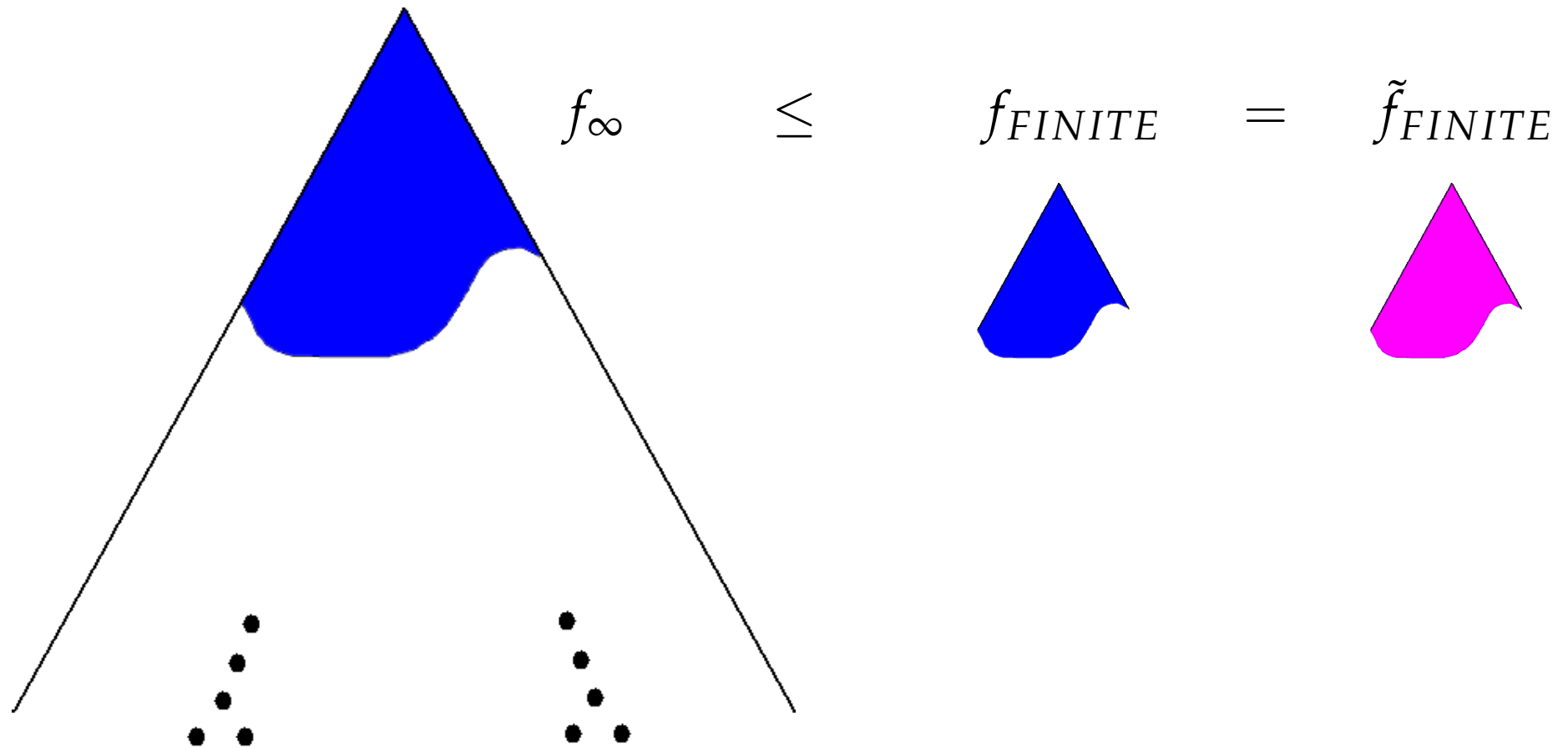
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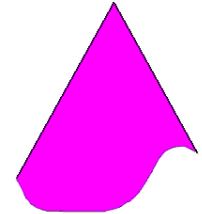
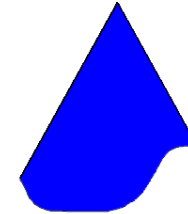
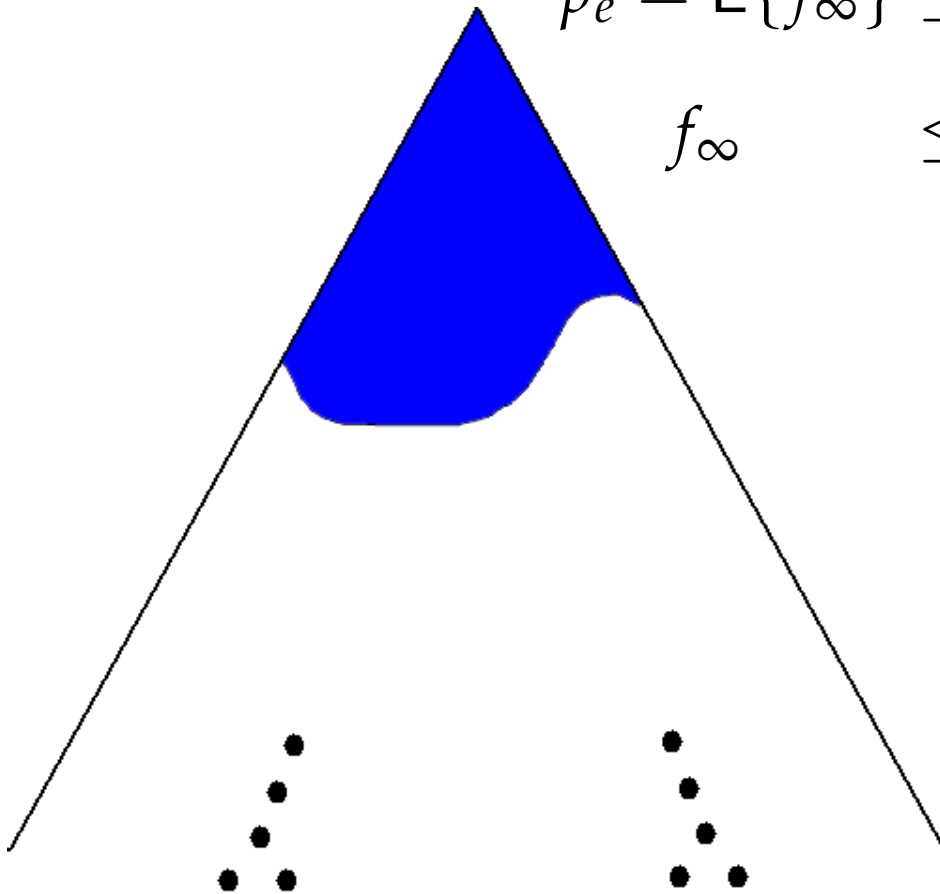


The Two-Stage Algorithm

w. the same order

$$p_e = E\{f_\infty\} \leq E\{f_{FINITE}\} \leq \mathcal{E}_{DE}\{\tilde{f}_{FINITE}\}$$

$$f_\infty \leq f_{FINITE} = \tilde{f}_{FINITE}$$

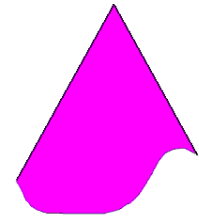
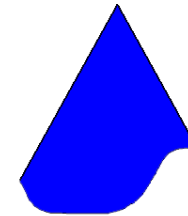
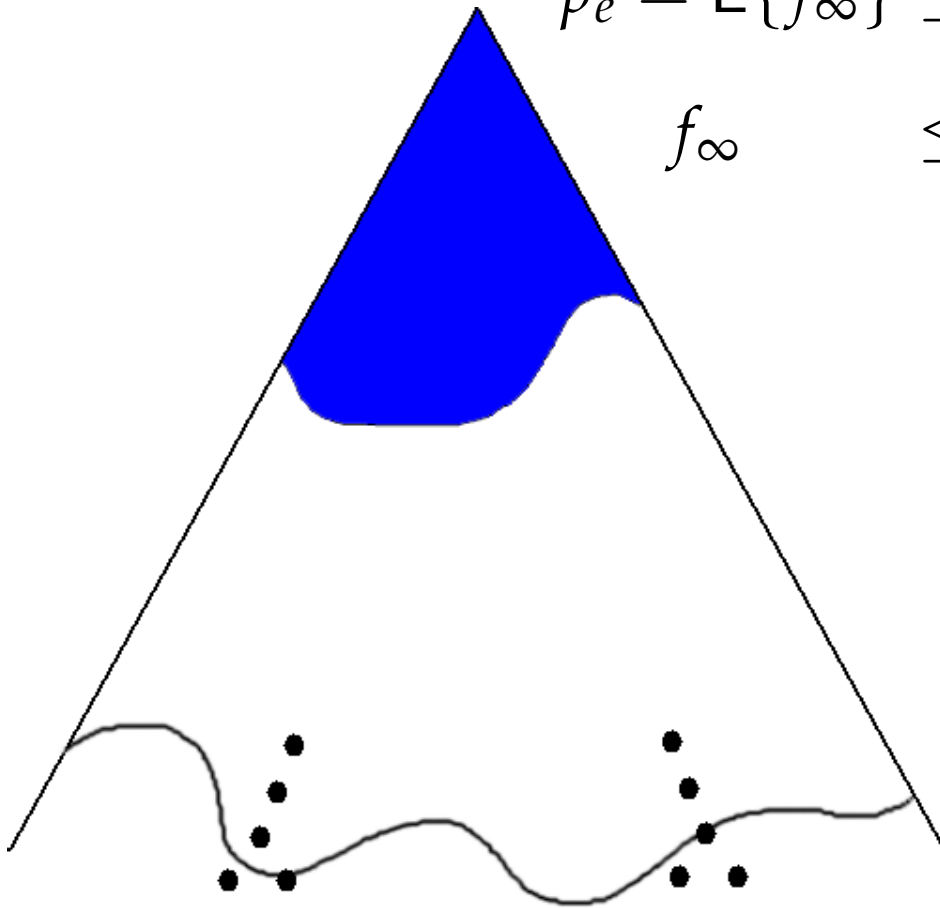


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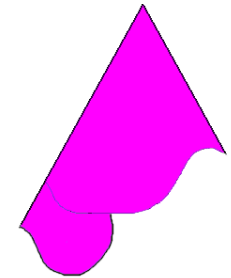
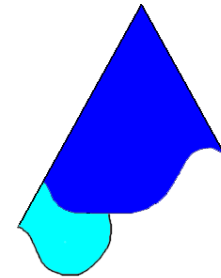
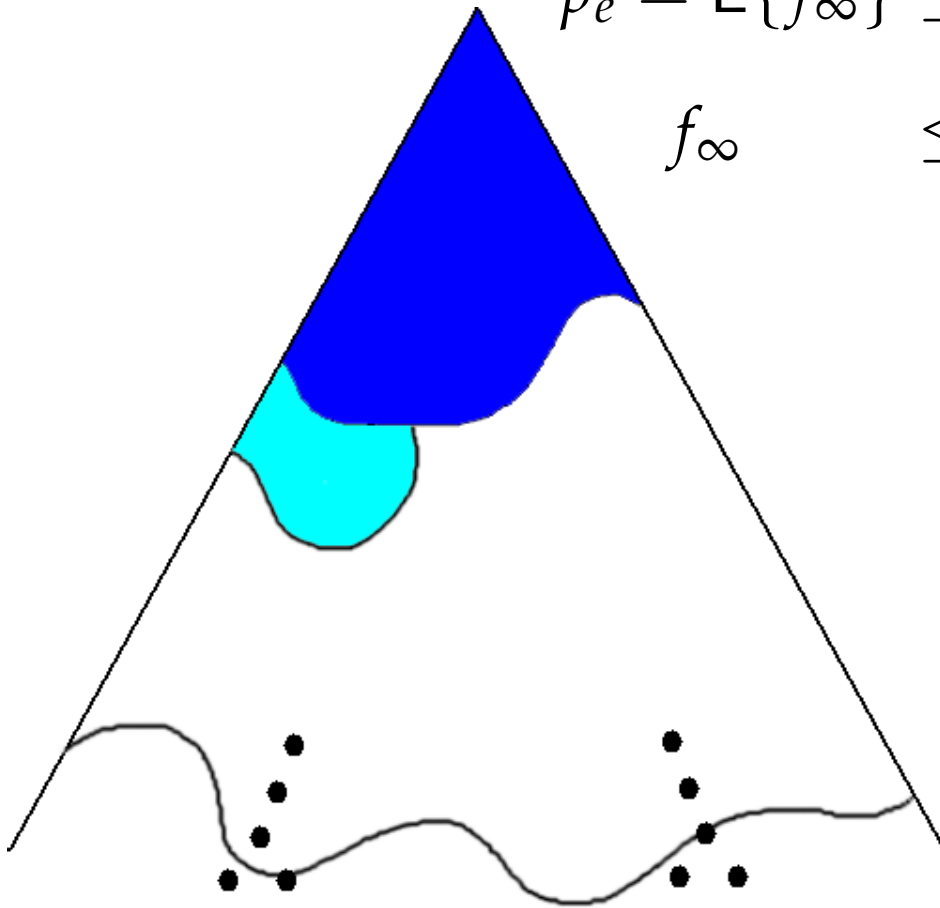
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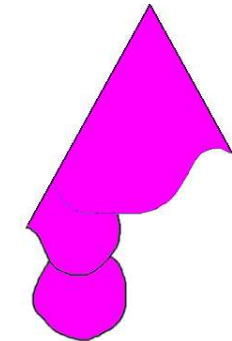
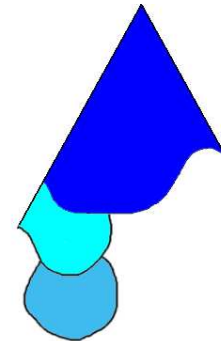
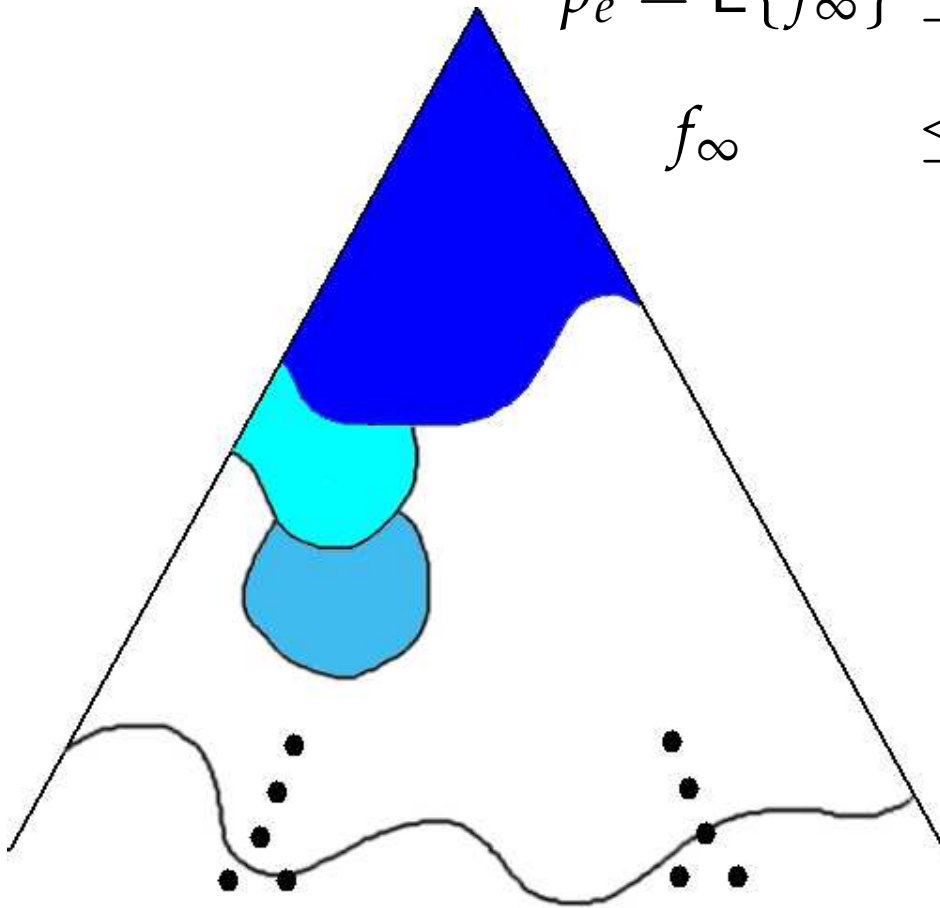
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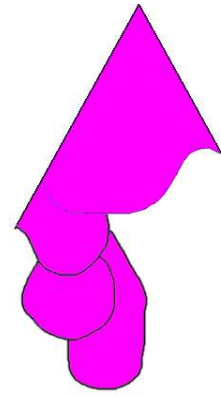
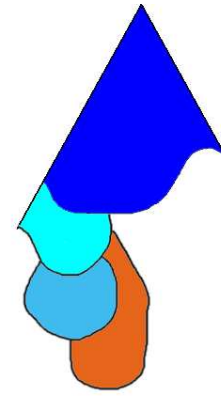
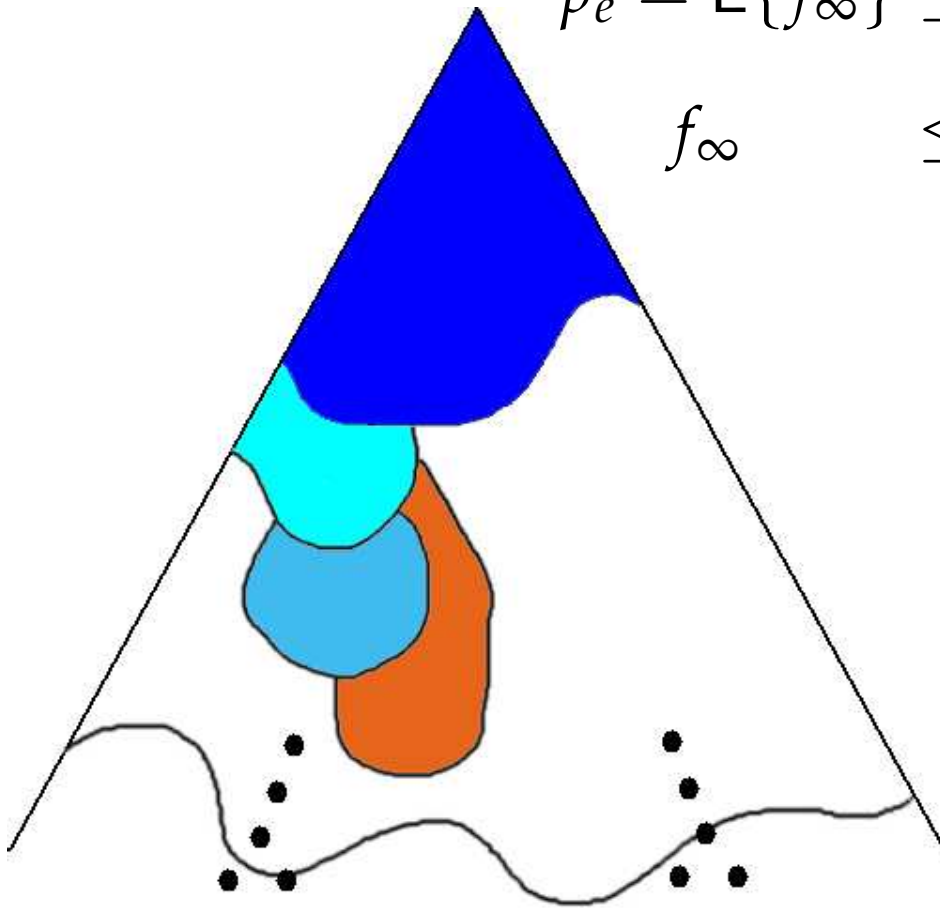


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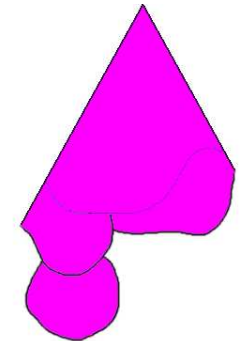
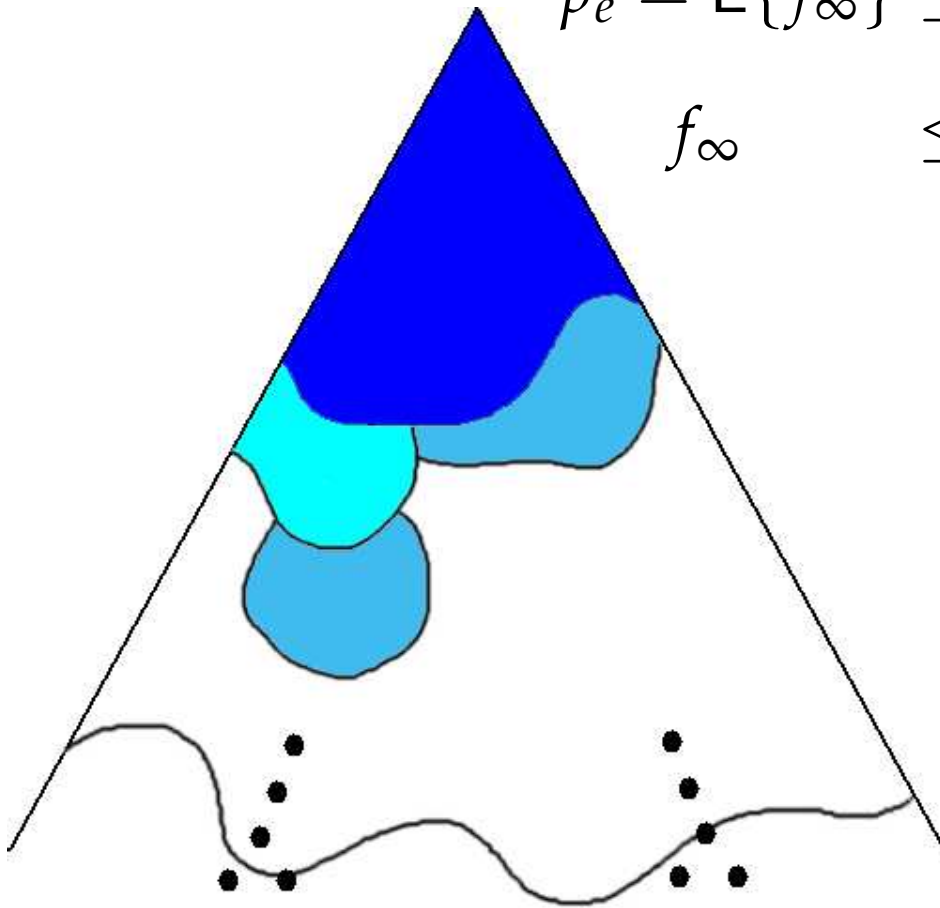


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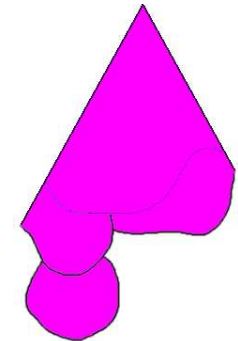
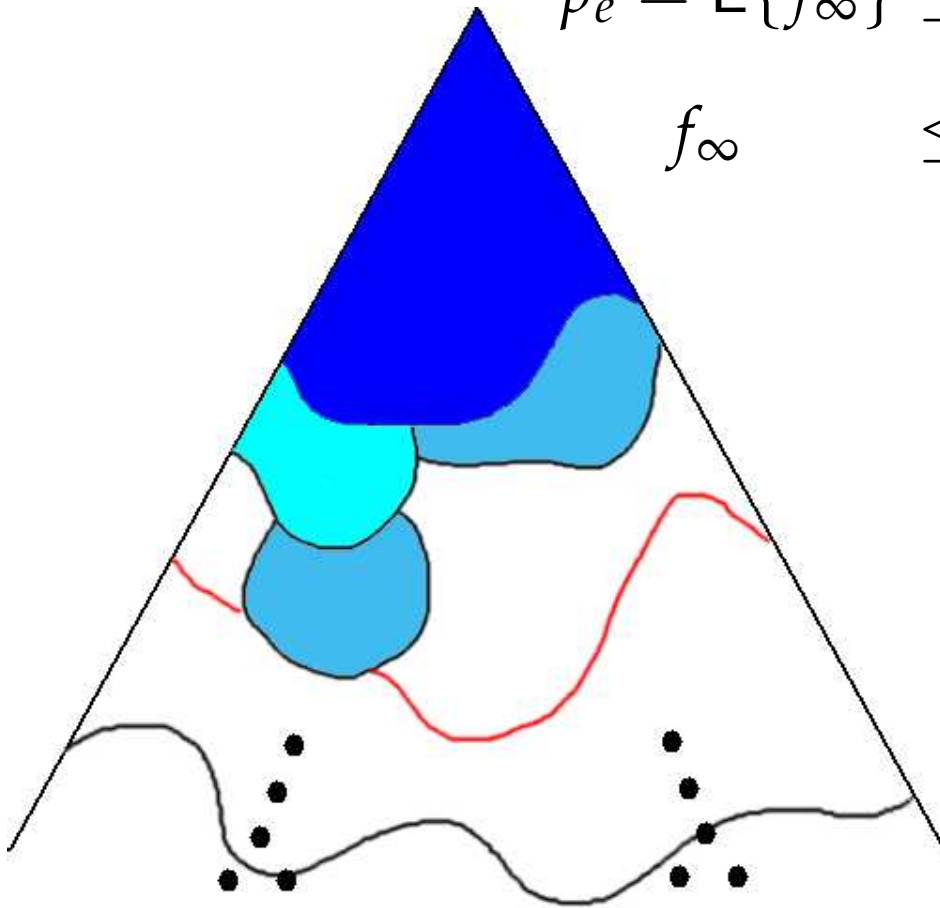


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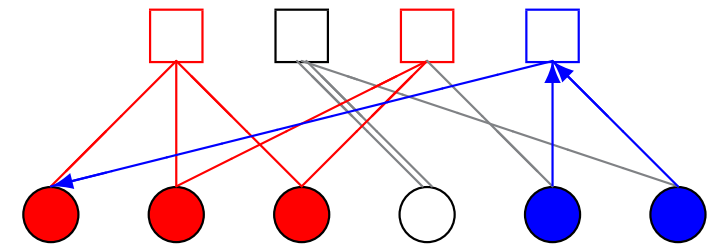
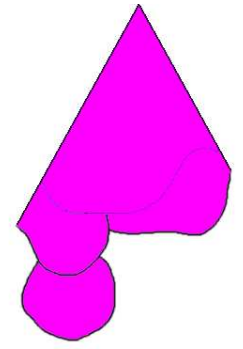
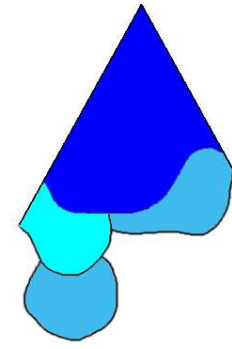
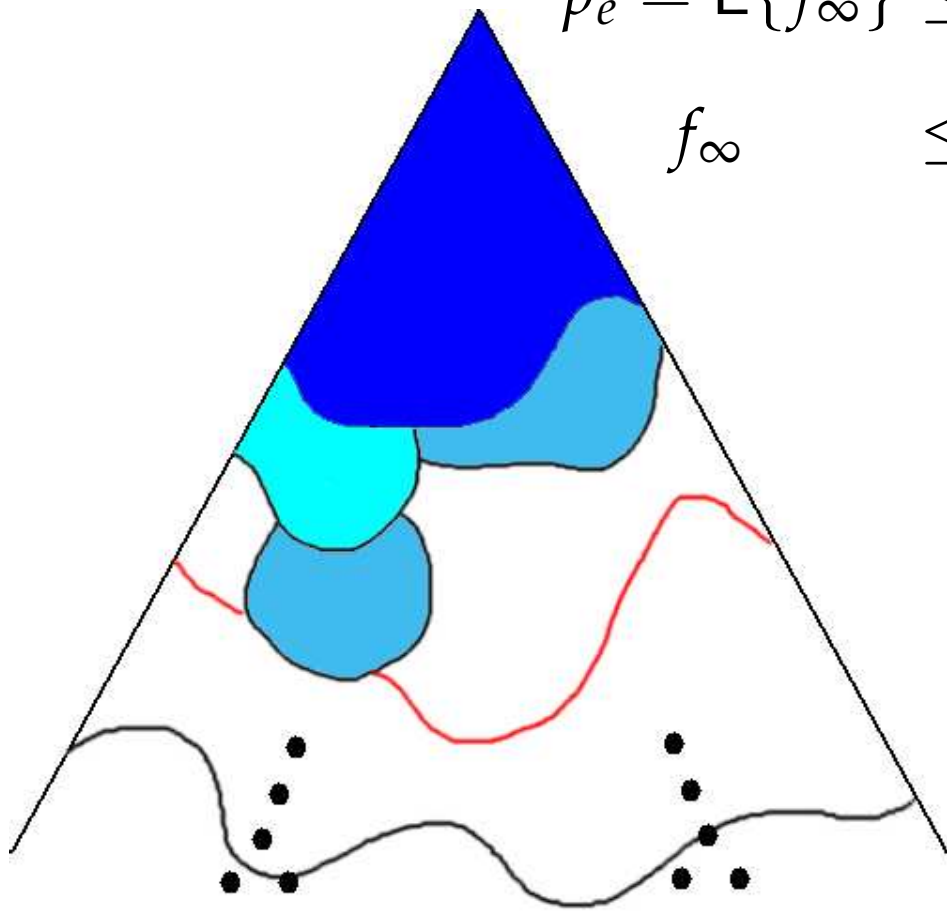


The Two-Stage Algorithm

w. the same order

$$p_e = E\{f_\infty\} \leq E\{f_{FINITE}\} \leq \mathcal{E}_{DE}\{\tilde{f}_{FINITE}\}$$

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Theorem 4 (Exhaustive Enumeration)

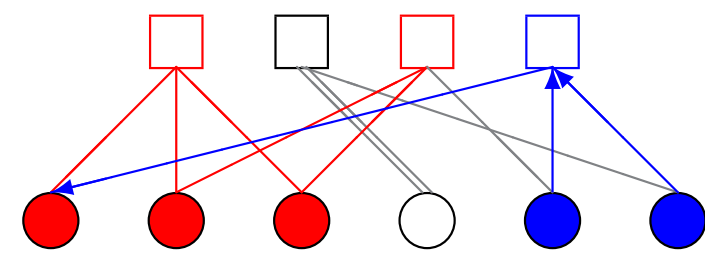
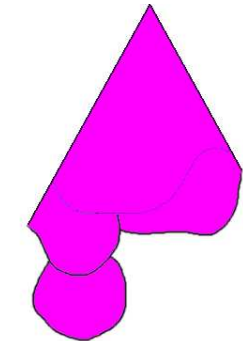
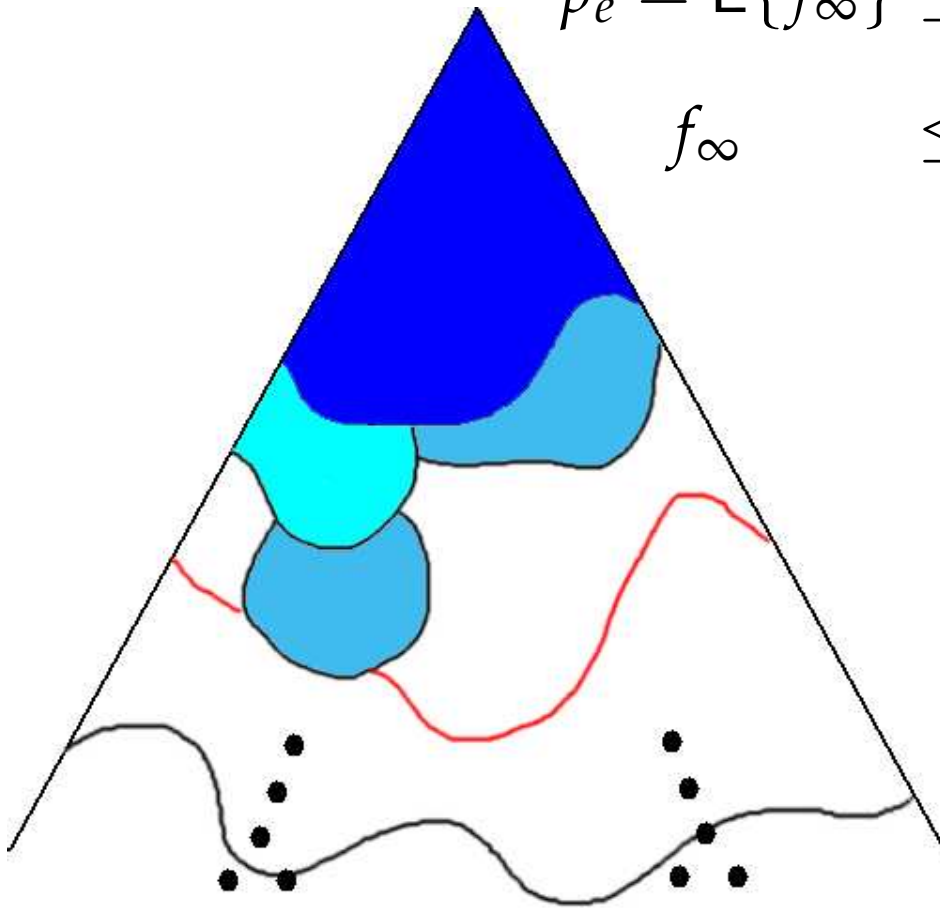
- $d := \min\{\text{size}(\mathbf{x}) \mid \forall \mathbf{x} \text{ such that } \tilde{f}_{\text{FINITE}}(\mathbf{x}) = 1\}$. Then the stopping distance $\geq d$.
- If there exists such a minimum \mathbf{x} being a SS, then ALL minimum SSs are in the set of all minimum \mathbf{x} .
- The exhaustive list of minimum SSs leads to a lower bound **tight** in both *order* and *multiplicity*.



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Numerical Experiments

- The (7,4,3) Hamming code:

$$\mathbf{H} = \begin{pmatrix} 0 & 0 & 1 & 1 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 & 1 & 1 \end{pmatrix}$$



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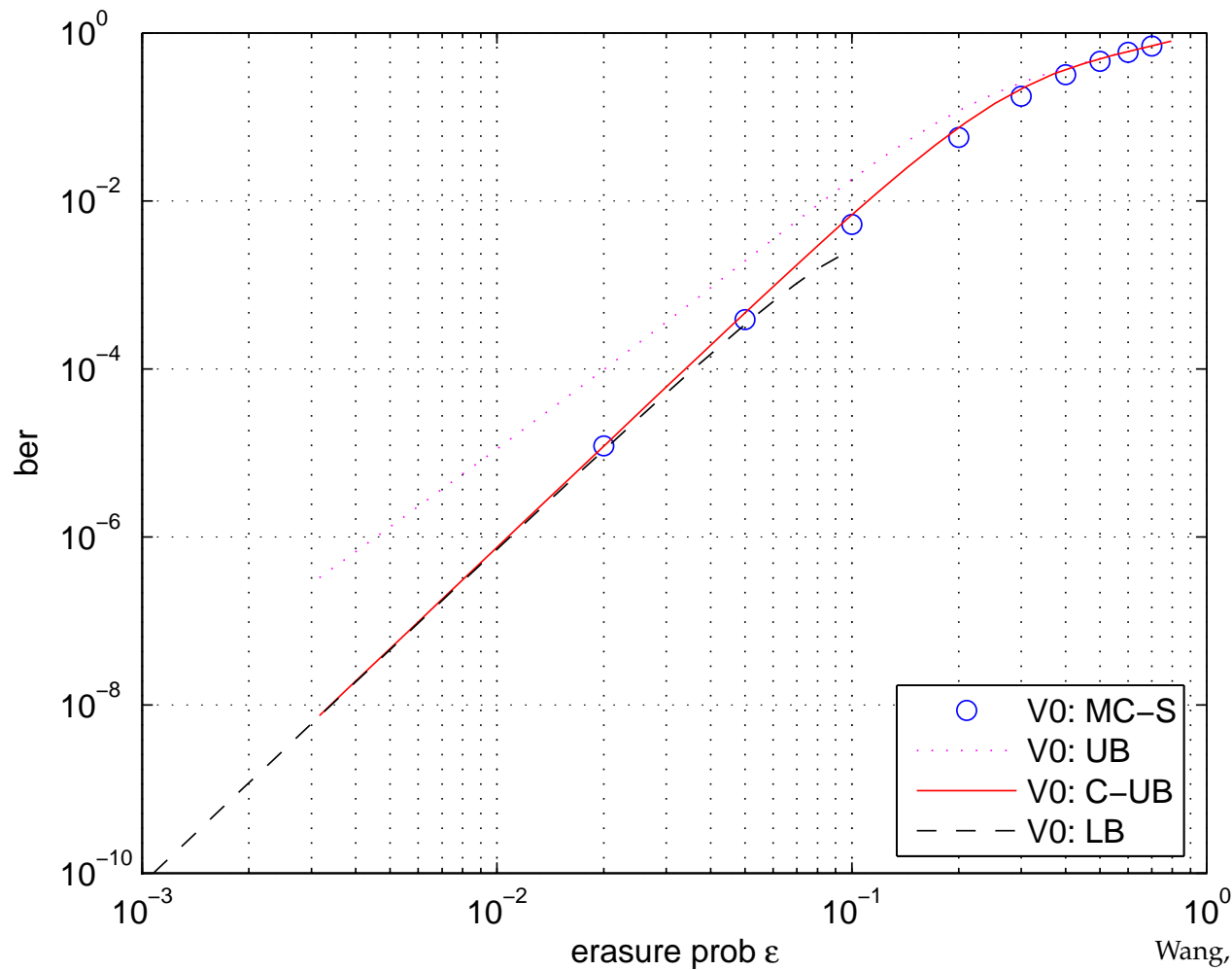
- The (23,12,7) Golay code:

$$\mathbf{H} = [\mathbf{H}' \mathbf{I}] \text{ in which } \mathbf{H}' = \begin{pmatrix} 1 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 & 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 1 & 1 & 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 1 & 1 & 1 & 0 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 0 & 1 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 1 & 0 \\ 1 & 1 & 1 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \end{pmatrix}$$



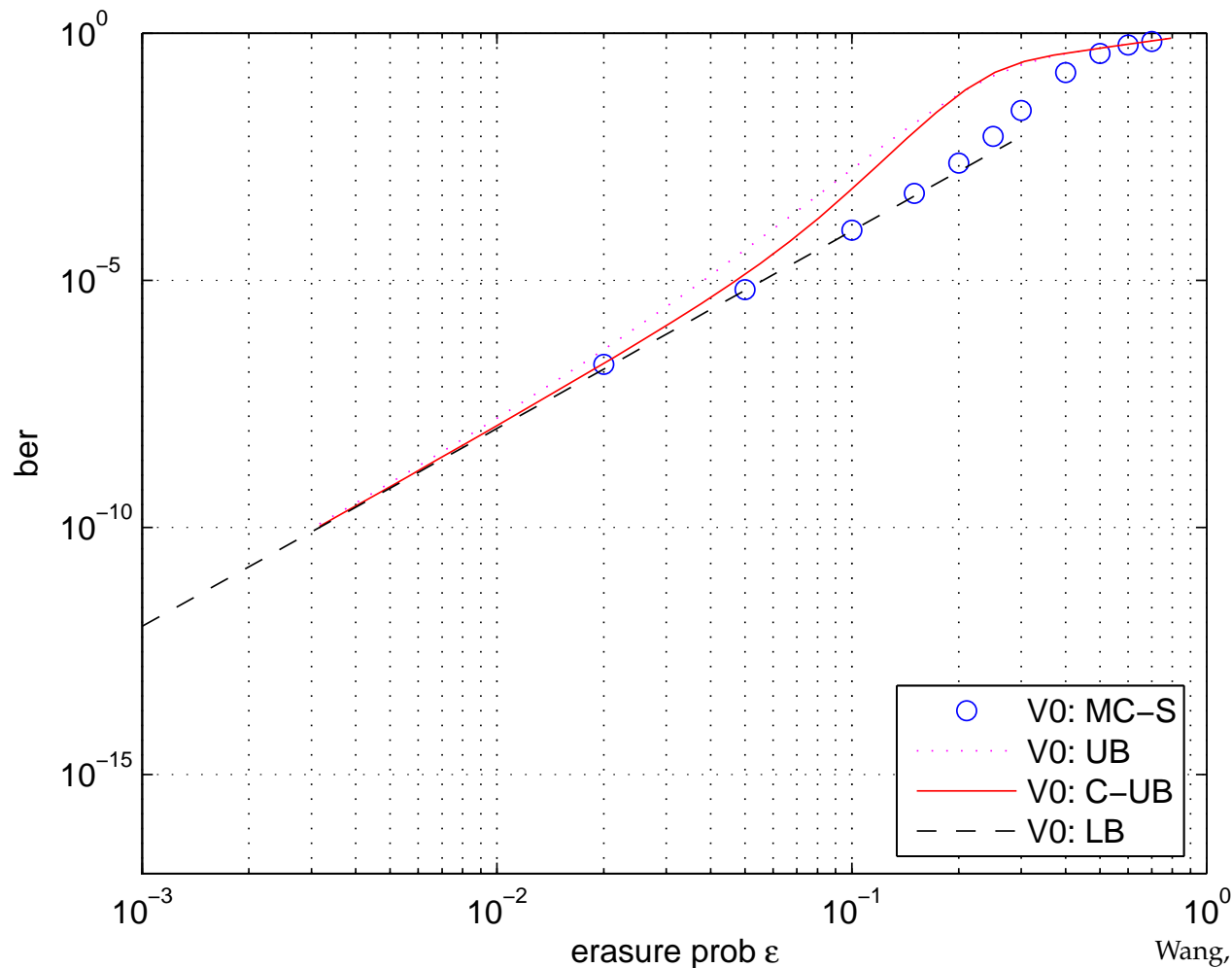
Numerical Experiments (cont'd)

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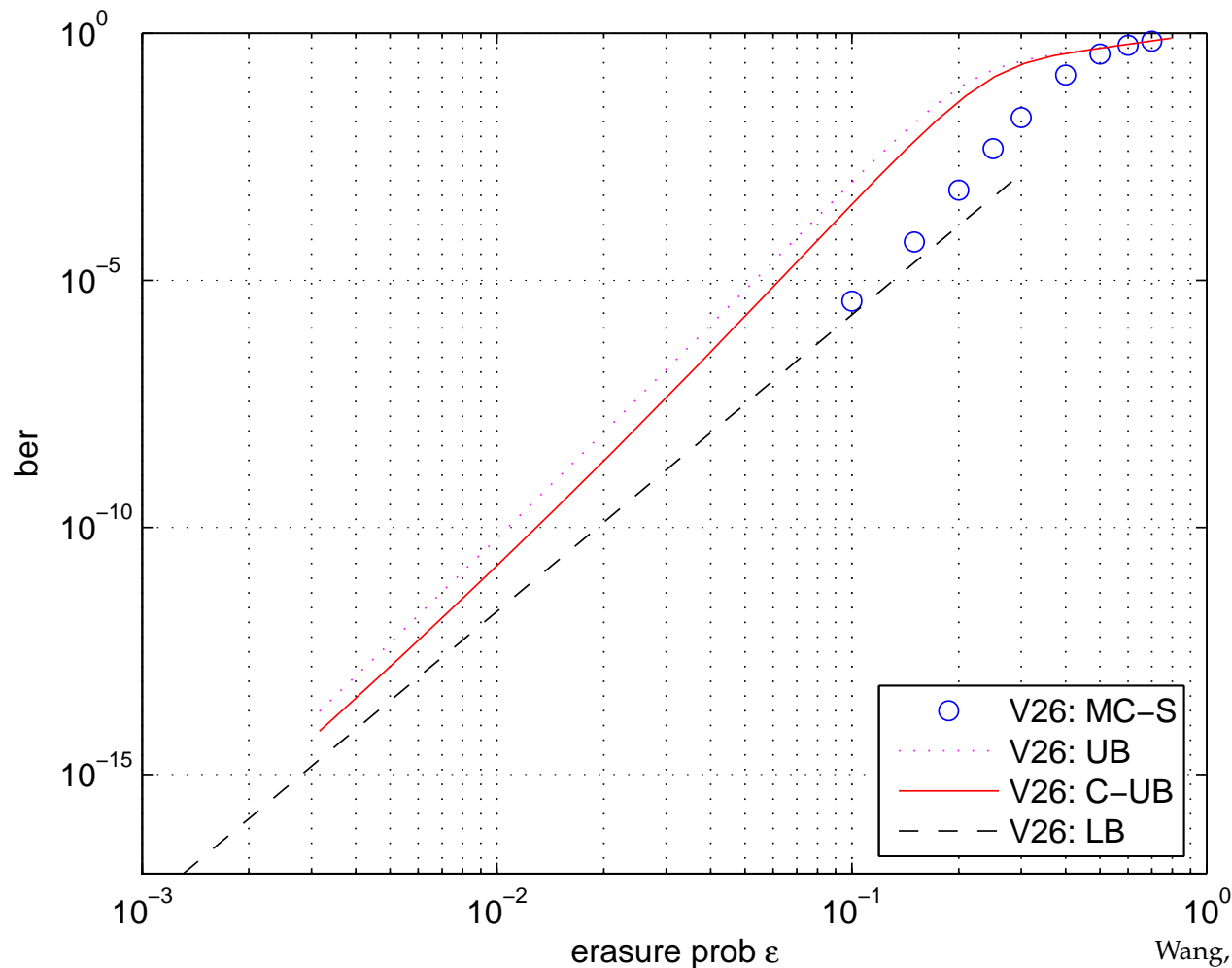
Numerical Experiments (cont'd)

- A fixed, finite LDPC code with var deg. 3, chk deg. 6, $n = 50$:
 - bit 0, (4*,1*)



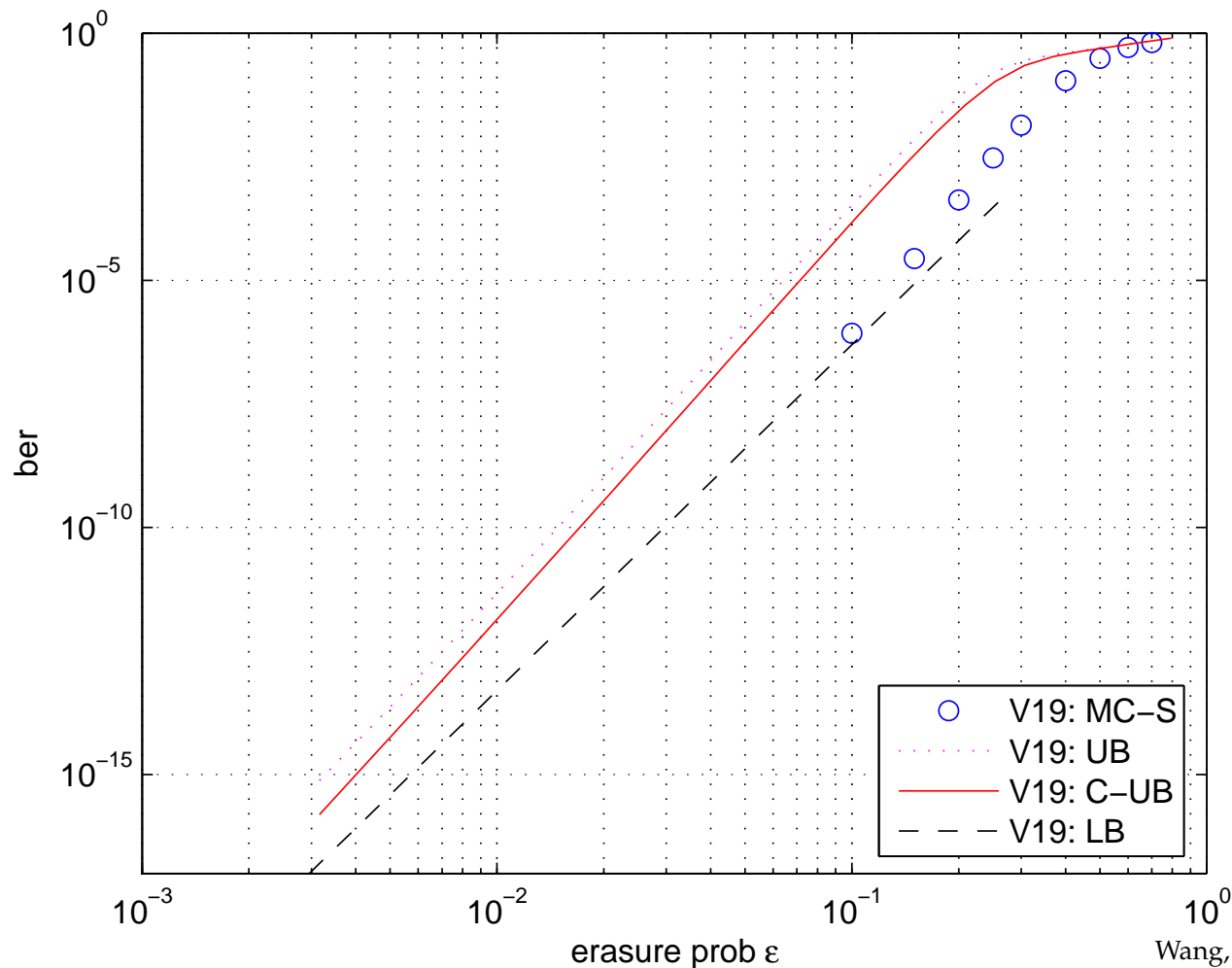
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Numerical Experiments (cont'd)

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 - The larger n , the easier for our algorithm.
- Experimental results
 - Consider codes of $n = 500\text{--}1000$.
 - For regular codes, $d_{SS} \leq 12$ can be exhausted.
($\binom{512}{12} = 5.9 \times 10^{23}$ trials)
 - The **FER** of **irregular codes** suits the algorithm most.
 $d_{SS} \leq 13$ can be exhausted. ($\binom{512}{13} = 2.5 \times 10^{25}$ trials)

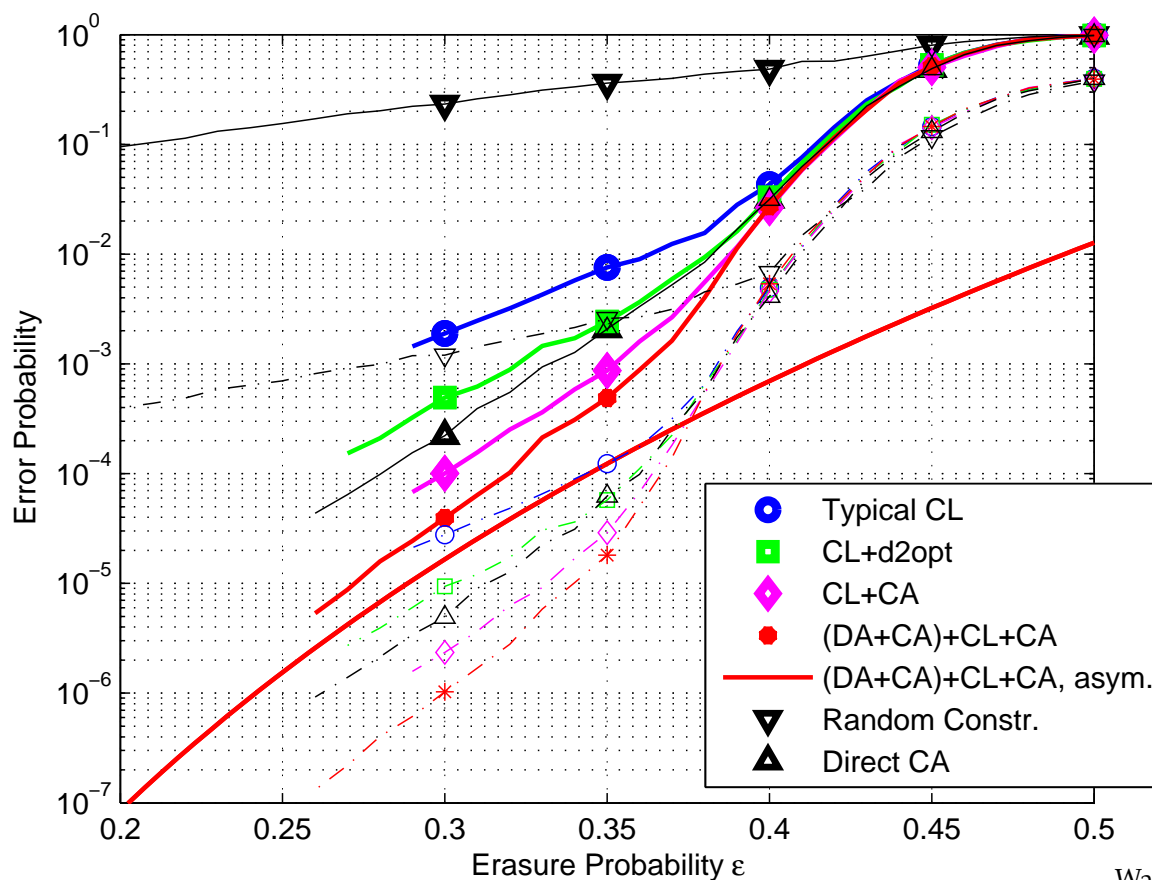


The FER of A Rate 1/2 Irregular Code

$$\lambda(x) = 0.416667x + 0.166667x^2 + 0.416667x^5$$

$$\rho(x) = x^5. \quad \boxed{61\% \text{ variable nodes of degree } 2}$$

A rate 1/2 $n = 572$ irregular LDPC code, (order, multi)=(13*,104*)



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- A starting point for more efficient algorithms.

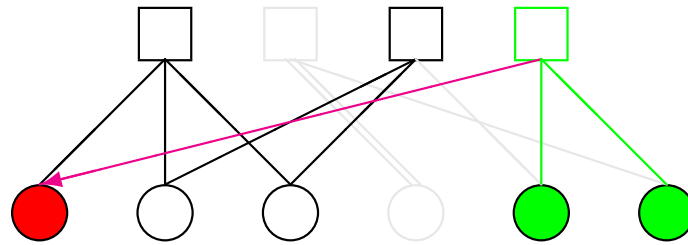


Thank you for the attention.



Searching for Trapping Sets

- We define the k -out trapping set, namely, the induced graph has k check node of degree 1.



Theorem 5 Consider a fixed k . Determining the k -out trapping distance is NP-complete.

- Our algorithm can be modified for trapping set exhaustion.



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- **Punctured** vs. **Shortened** Codes
- A **partitioned approach** — a hybrid search

$$E\{f\} = \sum_j E\{\mathcal{A}_j\} E\{f|\mathcal{A}_j\}.$$

Ex: $\mathcal{A}_1 = \{x_3 = 0\}$, $\mathcal{A}_2 = \{x_3 = 1, x_7 = 0\}$, and $\mathcal{A}_3 = \{x_3 = 1, x_7 = 1\}$.



The Tree Converting Algorithm

- 1: **repeat**
- 2: Find the next leaf variable node, say x_j .
- 3: **if** there exists another non-leaf x_j in \mathcal{T} **then**
- 4: **if** the **youngest common ancestor** of the leaf x_j and existing non-leaf x_j , denoted as $yca(x_j)$, is a check node **then**
- 5: Include the new x_j in \mathcal{T} .
- 6: **else if** $yca(x_j)$ is a **variable node** **then**
- 7: Do the **pivoting** construction.
- 8: **end if**
- 9: **end if**
- 10: Construct the immediate children of x_j .
- 11: **until** the size of \mathcal{T} exceeds the preset limit.

