

# Capacity Region of Two Symmetric Nearby Erasure Channels With Channel State Feedback

Chih-Chun Wang; chihw@purdue.edu

School of Electrical and Computer Engineering, Purdue University, USA

**Abstract**—This work considers a commonly encountered wireless transmission scenario: Two nearby 1-hop flows  $s_1 \rightarrow d_1$  and  $s_2 \rightarrow d_2$  are within the transmission range of each other. The network nodes thus have to share the time resources, which limits the sum-rate performance. On the other hand, both  $s_i$  and  $d_i$  can (occasionally) overhear the transmission of the other pair  $(s_j, d_j)$  for all  $i \neq j$ , which opens up the opportunity of using *network coding* (NC) and ACK/NACK to improve the throughput. The key challenge, however, is that any dedicated communication between  $s_1$  and  $s_2$  also consumes the precious time resources. Hence NC coordination must be achieved through *unreliable overhearing*.

In this work, the above scenario is modeled as four wireless nodes interconnected by *broadcast erasure channels* with channel state feedback. The corresponding capacity region  $(R_1, R_2)$  is fully characterized for the setting of symmetric, spatially independent erasure channels.

## I. INTRODUCTION

This work considers a commonly encountered wireless transmission scenario: Two nearby 1-hop flows from  $s_1$  to  $d_1$  and from  $s_2$  to  $d_2$  are within the transmission range of each other, see Fig. 1(a). Assuming the node-exclusive interference model, commonly used in the network scheduling literature [7], the network nodes thus have to share the time resources, which limits the sum-rate performance. On the other hand, both  $s_i$  and  $d_i$  can (occasionally) overhear the transmission of the other pair  $(s_j, d_j)$ , which opens up possibility of cooperative transmission. The above scenario can be modeled as four wireless nodes  $s_1, d_1, s_2,$  and  $d_2$  interconnected by two broadcast packet erasure channels (PECs). That is, a packet  $X_1$  sent by  $s_1$  can be heard by a (random) subset of  $\{s_2, d_1, d_2\}$  and a packet  $X_2$  sent by  $s_2$  can be heard by a (random) subset of  $\{s_1, d_1, d_2\}$ , see Fig. 1(b). We also assume causal *network-wide* channel state information (CSI) feedback. That is, after  $s_i$  sends a packet  $X_i$ , all four nodes  $\{s_1, s_2, d_1, d_2\}$  know which of the three nodes  $\{s_j, d_1, d_2\}$  has received  $X_i$  successfully. This can be achieved by  $s_j, d_1,$  and  $d_2$  each broadcasting its ACK/NACK signals over the network via a very low-rate<sup>1</sup> control channel or via piggybacking the forward traffic. We term this setting (Fig. 1(b)) *the proximity network*. This work aims to characterize the corresponding capacity region under some symmetry conditions.

The study of the proximity network is critical to the understanding of general wireless networks. For example, the XOR-in-the-air protocol [5] shows that if two coexisting 2-hop flows  $s_1 \rightarrow r \rightarrow d_1$  and  $s_2 \rightarrow r \rightarrow d_2$  share a common relay  $r$ , the so called wireless X network, then relay  $r$  can perform network coding (NC) and enhances the throughput

<sup>1</sup>The feedback rate is 3 bits per each forward packet transmission.

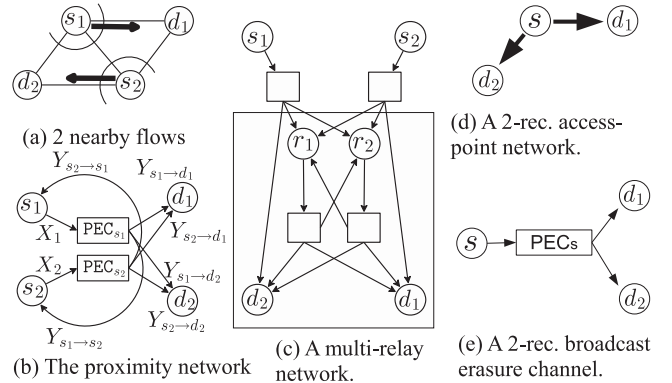


Fig. 1. (a) Two nearby 1-hop flows; (b) The corresponding wireless erasure network model; (c) The application to multi-relay networks. The rectangles represent the PECs; (d) A 2-receiver access-point network; (e) The corresponding 2-receiver broadcast erasure channel.

by 40–200% [5]. However, in practice a more likely scenario is that the 2-hop flows  $s_1 \rightarrow r_1 \rightarrow d_1$  and  $s_2 \rightarrow r_2 \rightarrow d_2$  have two distinct relays  $r_1$  and  $r_2$  that are in close proximity, see Fig. 1(c). A nature question is thus how much NC throughput gain one can still have when the two flows do not share a common relay  $r$ . As can be seen in the boxed area of Fig. 1(c), a critical component of this problem is the proximity network.

One key motivation of this work is to study the throughput benefit of CSI feedback for erasure networks [1]. Existing results on the erasure-network CSI-feedback capacity include the  $K$ -receiver broadcast channel capacity under various settings [2]–[4], [9], the 2-receiver XOR-in-the-air capacity with CSI feedback [6], and the linear NC capacity of the 2-receiver MIMO broadcast erasure channel [10].

The capacity of Fig. 1(b) is highly related to that of the 2-receiver broadcast PEC with CSI feedback [4] since if the logical sources  $s_1$  and  $s_2$  are situated at the same physical node  $s$ , then Fig. 1(b) collapses to Fig. 1(e). Compared to the results on broadcast erasure channels [4], there are two main challenges when characterizing the capacity of the proximity network. Firstly,  $s_1$  and  $s_2$  can only be coordinated through random overhearing. Secondly, what  $s_1$  transmitted in the past can affect the current NC decision of  $s_2$ , which in turn influences  $s_1$ 's NC decisions in the future. Questions like “how many time slots one should schedule  $s_1$  and  $s_2$ , respectively, and in what order” thus need to be carefully addressed. The challenges of *coordination through overhearing* and *transmission node scheduling* do not exist when there is only one transmission node  $s$  as in the case of broadcast PECs [4].

The cooperation between two nearby flows has been actively investigated under the subject of interference channels with

source cooperation, see [8], [11] and the references therein. In contrast with the interference channel results, several distinct features of this work include: (i) Interference is fully avoided through time-sharing. We can thus separate the benefits of CSI feedback from that of the interference mitigation techniques; (ii) In addition to overhearing the (corrupted) packets over the air, we assume network-wide CSI, which is a form of dedicated feedback that can be easily implemented in practice; and (iii) We characterize the full capacity region  $(R_1, R_2)$ , which is stronger than the sum-rate capacity characterization.

## II. PROBLEM FORMULATION AND THE MAIN RESULT

Assume slotted transmission. Within a total time budget of  $n$  time slots, source  $s_i$  would like to send  $n \cdot R_i$  packets  $\mathbf{W}_i \triangleq (W_{i,1}, \dots, W_{i,nR_i})$  to destination  $d_i$  for  $i \in \{1, 2\}$ . Each packet  $W_{i,l}$ ,  $l = 1, \dots, nR_i$ , is chosen independently and uniformly randomly from a finite field  $\text{GF}(q)$ . For any time  $t \in [n] \triangleq \{1, \dots, n\}$ , consider a random 6-dimensional channel state vector:

$$\mathbf{Z}(t) = (Z_{s_1 \rightarrow s_2}(t), Z_{s_1 \rightarrow d_1}(t), Z_{s_1 \rightarrow d_2}(t), \\ Z_{s_2 \rightarrow s_1}(t), Z_{s_2 \rightarrow d_1}(t), Z_{s_2 \rightarrow d_2}(t)) \in \{*, 1\}^6$$

where “\*” and “1” represent erasure and successful reception, respectively. That is, for any  $i = 1, 2$ , when  $s_i$  transmits a packet  $X_i(t) \in \text{GF}(q)$  in time  $t$ , node  $v$  ( $v$  can be any of  $\{s_j, d_1, d_2\}$  where  $j \neq i$ ) receives  $Y_{s_i \rightarrow v}(t) = X_i(t)$  if  $Z_{s_i \rightarrow v}(t) = 1$  and receives  $Y_{s_i \rightarrow v}(t) = *$  if  $Z_{s_i \rightarrow v}(t) = *$ . For simplicity, we use  $Y_{s_i \rightarrow v}(t) = X_i(t) \circ Z_{s_i \rightarrow v}(t)$  as shorthand. We also assume  $\mathbf{Z}(t)$  is independent of  $\mathbf{W}_1$  and  $\mathbf{W}_2$ .

To model interference, we assume that only one node can be scheduled in each time slot. We use  $\sigma(t) \in \{s_1, s_2\}$  to denote the scheduling decision at time  $t$ . For convenience, when  $s_i$  is not scheduled at time  $t$ , we simply set  $Y_{s_i \rightarrow v}(t) = *$ , i.e.,

$$Y_{s_i \rightarrow v}(t) = X_i(t) \circ Z_{s_i \rightarrow v}(t) \circ 1_{\{\sigma(t)=s_i\}}.$$

We assume the two PECs in Fig. 1(b) are memoryless, stationary, symmetric, and spatially independent. That is, for all  $i \in \{1, 2\}$  the success probability  $\text{Prob}(Z_{s_i \rightarrow d_k}(t)) = p$  for all  $k \in \{1, 2\}$  and  $\text{Prob}(Z_{s_i \rightarrow s_j}(t)) = q$  for all  $j \in \{1, 2\} \setminus i$ ; Each coordinate of  $\mathbf{Z}(t)$  is independently distributed; and  $\mathbf{Z}(t)$  is independently and identically distributed over the time axis  $t$ . We use brackets  $[\cdot]_1^t$  to denote the collection from time 1 to  $t$ . For example,  $[\mathbf{Z}, Y_{s_1 \rightarrow d_2}]_1^t \triangleq \{\mathbf{Z}(\tau), Y_{s_1 \rightarrow d_2}(\tau) : \forall \tau \in [1, t]\}$ .

Given the traffic load  $(R_1, R_2)$ , a network code is defined by  $n$  scheduling decision functions

$$\forall t \in [n], \sigma(t) = f_{\sigma,t}([\mathbf{Z}]_1^{t-1}), \quad (1)$$

$2n$  encoding functions at  $s_1$  and  $s_2$ , respectively: For all  $t \in [n]$ ,  $i \in \{1, 2\}$ , and  $j \in \{1, 2\} \setminus i$ ,

$$X_i(t) = f_{s_i,t}(\sigma(t), \mathbf{W}_i, [Y_{s_j \rightarrow s_i}, \mathbf{Z}]_1^{t-1}), \quad (2)$$

and  $2$  decoding functions at  $d_1$  and  $d_2$ , respectively:

$$\hat{\mathbf{W}}_i = f_{d_i}([\sigma, Y_{s_1 \rightarrow d_i}, Y_{s_2 \rightarrow d_i}, \mathbf{Z}]_1^n), \quad \forall i \in \{1, 2\}. \quad (3)$$

Namely, the scheduling decision at time  $t$  is based on the network-wide CSI in time 1 to  $(t-1)$ . Encoding at  $s_i$  depends

on the scheduling decision, the information messages, what  $s_i$  overhears from  $s_j$ , and the past CSI. In the end,  $d_i$  decodes  $\mathbf{W}_i$  based on the past scheduling decisions, what  $d_i$  has received, and the past network-wide CSI. We can then define the capacity of the proximity network, Fig. 1(b), as follows.

*Definition 1:* Fix the  $p$  and  $q$  values. A rate vector  $(R_1, R_2)$  is achievable if for any  $\epsilon > 0$ , there exists a network code with sufficiently large  $n$  and  $\text{GF}(q)$  such that  $\max_{\forall i \in \{1,2\}} \text{Prob}(\mathbf{W}_i \neq \hat{\mathbf{W}}_i) < \epsilon$ . The capacity region is the closure of all achievable  $(R_1, R_2)$ .

The main result of this work is described as follows.

*Proposition 1:* A rate vector  $(R_1, R_2)$  is in the capacity region if and only if the following inequalities are satisfied.

$$\frac{R_1}{p} + \frac{R_2}{1 - (1-p)^2} \leq 1 \quad (4)$$

$$\frac{R_1}{1 - (1-p)^2} + \frac{R_2}{p} \leq 1 \quad (5)$$

$$R_1 + R_2 \leq 1 - (1-p)(1-q). \quad (6)$$

A detailed sketch of the proof is provided in the next section.

*Remark:* One can quickly see that the larger the  $q$  value is, the easier source  $s_i$  can overhear packets from the other source  $s_j$ , the stronger coordination  $s_1$  and  $s_2$  can achieve through random overhearing, and the closer the proximity network in Fig. 1(b) is to the 2-receiver broadcast PEC in Fig. 1(e). One implication of Proposition 1 is that even with relatively weak overhearing between  $s_1$  and  $s_2$ , as long as  $q \geq \frac{p}{3-p}$  (i.e., roughly 1/3 to 1/2 of the  $p$  value), nodes  $s_1$  and  $s_2$  can be fully coordinated and the capacity of the proximity network is identical to that of the 2-receiver broadcast PEC. Also see the discussion in Section III-A.

## III. PROOF OF THE MAIN RESULT

### A. The outer bound proof

One challenge of the problem is that  $s_1$  and  $s_2$  have to coordinate through unreliable overhearing. A straightforward outer bound is thus to connect  $s_1$  and  $s_2$  through an auxiliary information pipe with infinite capacity, which effectively merges  $s_1$  and  $s_2$  into a single source  $s$  and eliminates the coordination problem. The original setting is thus converted into a symmetric 2-receiver broadcast PEC with each sub-channel  $s \rightarrow d_i$  having success probability  $p$ . The capacity of the latter is described in (4) and (5), see [4], which serves as an outer bound of the original problem.

The third inequality (6) is a cut-set bound and can be derived as follows. For any feasible network code, we notice that conditioning on  $[\mathbf{Z}]_1^n$ , we have  $\mathbf{W}_i \rightarrow [Y_{s_i \rightarrow d_i}, Y_{s_i \rightarrow s_j}]_1^n \rightarrow \hat{\mathbf{W}}_i$ ,  $j \neq i$ , being a Markov chain. As a result,

$$I(\mathbf{W}_i; \hat{\mathbf{W}}_i) \leq H([Y_{s_i \rightarrow d_i}, Y_{s_i \rightarrow s_j}]_1^n | [\mathbf{Z}]_1^n) \\ \leq (1 - (1-p)(1-q)) \cdot t_i$$

where  $I(\cdot; \cdot)$  is the mutual information and  $t_i$  is the expected number of  $s_i$ 's transmissions, i.e.,  $t_i \triangleq \mathbb{E}(\sum_{\tau=1}^n 1_{\{\sigma(\tau)=s_i\}})$  with  $1_{\{\cdot\}}$  being the indicator function. As a result, we have

$$\frac{I(\mathbf{W}_1; \hat{\mathbf{W}}_1) + I(\mathbf{W}_2; \hat{\mathbf{W}}_2)}{1 - (1-p)(1-q)} \leq t_1 + t_2 = n. \quad (7)$$

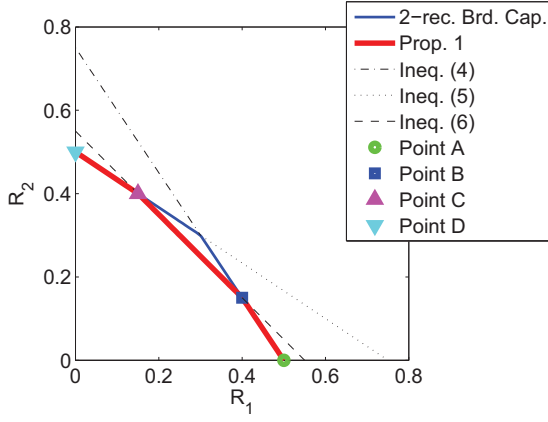


Fig. 2. The capacity region for the case of  $p = 0.5$  and  $q = 0.1$ . The four corner points of the capacity region are denoted by  $A$  to  $D$ , which will be referred to in the achievability proof.

By Fano's inequality we can choose a feasible network code with  $I(\mathbf{W}_i; \hat{\mathbf{W}}_i) \geq n(R_i - \epsilon)$  for any  $\epsilon$ . (7) thus implies (6).

Fig. 2 illustrates the capacity region for the case of  $p = 0.5$  and  $q = 0.1$ . Note that the larger the  $q$  value is, the less restrictive is the constraint in (6). When  $q > \frac{p}{3-p}$  the line  $R_1 + R_2 = 1 - (1-p)(1-q)$  no longer intersects with the capacity region of the 2-receiver broadcast erasure channel. Hence  $s_1$  and  $s_2$  are fully coordinated as long as  $q \geq \frac{p}{3-p}$ .

### B. Proof of the inner bound

Due to space limit, we focus on the more interesting case of  $q < \frac{p}{3-p}$ , for which the capacity region described in (4) to (6) is a pentagon with 4 corner points  $A$  to  $D$ , see Fig. 2. The coordinates of Point  $A$  are  $(R_1, R_2) = (p, 0)$ , which can be achieved by letting only  $s_1$  transmit. The coordinates of Point  $B$  are  $(R_1, R_2) = (p - q, q(2 - p))$ . For the following, we will prove that Point  $B$  can be achieved asymptotically. By symmetry, Points  $C$  and  $D$  can also be approached. We can then achieve the entire capacity region through time sharing.

The following scheme approaches Point  $B$ , i.e.,  $(R_1, R_2) = (p - q, q(2 - p))$ . The scheme consists of 4 major phases. The first two phases contains 2 sub-phases, one for the transmission of  $s_1$  and one for  $s_2$ . The network code executes the following (sub-)phases in sequence.

In Phase 1. $s_1$ , source  $s_1$  keeps transmitting an uncoded packet  $W_{1,l}$  until it is received by at least one of  $\{s_2, d_1, d_2\}$ .  $s_1$  then moves on to the next packet  $W_{1,l+1}$ . Phase 1. $s_1$  stops when all  $\mathbf{W}_1$  packets have been heard by at least one of  $\{s_2, d_1, d_2\}$ . Phase 1. $s_2$  is symmetric to Phase 1. $s_1$ .

After Phase 1. $s_1$ , we can partition all  $\mathbf{W}_1$  packets into 7 groups  $A_1$  to  $A_7$  based on their *reception status*. For example, the reception status of  $W_{1,l}$  being  $s_2\bar{d}_1d_2$  means that  $W_{1,l}$  is received by  $s_2$  and  $d_2$  but not by  $d_1$ . The reception status of each group,  $A_1$  to  $A_7$ , is summarized as follows.

$$\begin{aligned} A_1: s_2d_1d_2, \quad A_2: \bar{s}_2d_1d_2, \quad A_3: s_2\bar{d}_1d_2, \quad A_4: \bar{s}_2\bar{d}_1d_2, \\ A_5: s_2d_1\bar{d}_2, \quad A_6: \bar{s}_2d_1\bar{d}_2, \quad \text{and} \quad A_7: s_2\bar{d}_1\bar{d}_2. \end{aligned} \quad (8)$$

Symmetrically, after Phase 1. $s_2$  we can partition all  $\mathbf{W}_2$  packets into 7 groups:  $B_1$  to  $B_7$ , for which the reception status are

$$\begin{aligned} B_1: s_1d_1d_2, \quad B_2: \bar{s}_1d_1d_2, \quad B_3: s_1d_1\bar{d}_2, \quad B_4: \bar{s}_1d_1\bar{d}_2, \\ B_5: s_1\bar{d}_1d_2, \quad B_6: \bar{s}_1\bar{d}_1d_2, \quad \text{and} \quad B_7: s_1\bar{d}_1\bar{d}_2. \end{aligned} \quad (9)$$

The purpose of Phases 1. $s_1$  and 1. $s_2$  is to populate the network with the information packets  $\mathbf{W}_1$  and  $\mathbf{W}_2$ .

After Phase 1. $s_2$ , we move on to Phases 2. $s_1$  and 2. $s_2$ :

### § PHASE 2. $s_1$

- 1: Set  $l_4 \leftarrow 1$  and  $l_7 \leftarrow 1$ .
- 2: **while**  $l_7 \leq |B_7|$ , the number of  $\mathbf{W}_2$  packets in  $B_7$  **do**
- 3: Source  $s_1$  transmits a linear sum of two packets: the  $l_7$ -th packet in  $B_7$  and the  $l_4$ -th packet in  $A_4$ .
- 4: **if** at least one of  $\{d_1, d_2\}$  receives the packet **then**
- 5: Set  $l_7 \leftarrow l_7 + 1$ .
- 6: **end if**
- 7: **if** at least one of  $\{s_2, d_1\}$  receives the packet **then**
- 8: Set  $l_4 \leftarrow l_4 + 1$ .
- 9: **end if**
- 10: **end while**

Phase 2. $s_2$  is a symmetric version of Phase 2. $s_1$ . That is, source  $s_2$  transmits a linear sum of a  $B_4$  and an  $A_7$  packets.

The purpose of Phase 2. $s_1$  is two-fold. Firstly,  $B_7$  contains the  $\mathbf{W}_2$  packets that have been heard by neither  $d_1$  nor  $d_2$ , see (9). Therefore, to facilitate NC in the subsequent phases,  $s_1$  would like to transmit the  $B_7$  packets. Secondly,  $A_4$  contains the  $\mathbf{W}_1$  packets that have been heard by  $d_2$  but not by  $d_1$ , see (8). Such a mismatched reception is a good candidate for NC. However,  $A_4$  is not heard by  $s_2$ , which means that if we would like to perform NC that involves the  $A_4$  packets, only  $s_1$  is up to such a task. To broaden the possibility so that  $s_2$  can also perform NC that involves the  $A_4$  packets,  $s_1$  would also like to transmit the  $A_4$  packets. Instead of sending the  $A_4$  and  $B_7$  packets separately,  $s_1$  transmits a linear sum instead. The purpose of Phase 2. $s_2$  is symmetric to that of Phase 2. $s_1$ .

After Phase 2. $s_1$ , each of the  $B_7$  packets, say the  $l_7$ -th packet of  $B_7$ , can be classified as one of the 3 groups:  $B_{7,1}$  to  $B_{7,3}$ , depending on the reception status when sending the linear sum of the  $l_7$ -th packet of  $B_7$  and an  $A_4$  packets. The reception status of  $B_{7,1}$  to  $B_{7,3}$  is summarized as follows.

$$B_{7,1}: \bar{d}_1d_2, \quad B_{7,2}: d_1\bar{d}_2, \quad B_{7,3}: d_1d_2. \quad (10)$$

We can partition the  $A_4$  packets similarly. However, since the termination condition of Phase 2. $s_1$  is based on using up the  $B_7$  packets (Line 2 of Phase 2. $s_1$ ), there may be some  $A_4$  packets that have not been received by any of  $\{s_2, d_1\}$  yet (those with indices beyond the last  $l_4$  value when Phase 2. $s_1$  ends). As a result,  $A_4$  can be partitioned into 4 groups  $A_{4,1}$  to  $A_{4,4}$  with reception status being

$$A_{4,1}: s_2\bar{d}_1, \quad A_{4,2}: \bar{s}_2d_1, \quad A_{4,3}: s_2d_1, \quad A_{4,4}: \bar{s}_2\bar{d}_1. \quad (11)$$

Symmetrically after Phase 2. $s_2$ , we can partition the  $A_7$  packets into 3 groups  $A_{7,1}$  to  $A_{7,3}$  and the  $B_4$  packets into 4 groups  $B_{4,1}$  to  $B_{4,4}$  with the reception status being

$$A_{7,1}: d_1\bar{d}_2, \quad A_{7,2}: \bar{d}_1d_2, \quad A_{7,3}: d_1d_2 \quad (12)$$

$$B_{4,1}: s_1\bar{d}_2, \quad B_{4,2}: \bar{s}_1d_2, \quad B_{4,3}: s_1d_2, \quad B_{4,4}: \bar{s}_1\bar{d}_2. \quad (13)$$

For throughput enhancement, we would like to mix some  $\mathbf{W}_1$  packets that have been heard by  $d_2$  but not  $d_1$  with some  $\mathbf{W}_2$  packets that have been heard by  $d_1$  but not  $d_2$ . However, *since  $s_1$  and  $s_2$  are not located in the same physical node, those NC-eligible  $\mathbf{W}_1$  and  $\mathbf{W}_2$  packets are spread between  $s_1$  and  $s_2$ .* We first focus on  $s_1$ . Recall that  $s_1$  has all the  $\mathbf{W}_1$  packets to begin with. Among them, the ones that are heard by  $d_2$  but not by  $d_1$  are in  $A_3$  and  $A_4$  (equivalently  $A_{4.1}$  to  $A_{4.4}$ ), see (8).<sup>2</sup> Note that  $s_1$  can decode  $B_{4.1}$  and  $B_{4.3}$  during Phase 2. $s_2$  by subtracting the  $A_7$  packets it received in Phase 1. $s_1$ . Therefore, among all the  $\mathbf{W}_2$  packets that  $s_1$  has, the ones that are heard by  $d_1$  but not by  $d_2$  are  $B_3$ ,  $B_{4.1}$  and  $B_{4.3}$ . As a result, if  $s_1$  sends a linear sum of one packet from the first group  $\{A_3, A_{4.1}, \dots, A_{4.4}\}$  and one packet from the second group  $\{B_3, B_{4.1}, B_{4.3}\}$ , then such a transmission can serve both destinations simultaneously. However, as will be elaborated shortly after, *there are other combinations  $s_1$  can send that also serve both destinations simultaneously.*

We first argue that any packet in  $A_{7.2}$  or  $A_{7.3}$  can also be viewed as a  $\mathbf{W}_2$  packet that is heard by  $d_1$  but not  $d_2$ . We first notice that all the  $B_{4.2}$  and  $B_{4.3}$  packets are mixed one-to-one with the  $A_{7.2}$  and  $A_{7.3}$  packets as they correspond to the time instants for which a Phase 2. $s_2$  packet is received by  $d_2$ , see (13) and (12). Hence, whenever  $d_2$  receives an  $A_{7.2}$  (resp.  $A_{7.3}$ ) packet,  $d_2$  can subtract such a packet from one of the linear sums it received during Phase 2. $s_2$  and decode one of  $B_{4.2}$  and  $B_{4.3}$  packets. As a result,  $A_{7.2}$  and  $A_{7.3}$  can be viewed as  $\mathbf{W}_2$  packets that have not been heard by  $d_2$ . Since  $A_{7.2}$  and  $A_{7.3}$  are pure  $\mathbf{W}_1$  packets, *they will not interfere with any  $s_1 \rightarrow d_1$  communications,*<sup>3</sup> and can thus be viewed as “transparent” or equivalently as “heard by  $d_1$ ”.

By the above arguments, we can enlarge the second group from  $\{B_3, B_{4.1}, B_{4.3}\}$  to  $\{B_3, B_{4.1}, A_{7.2}, A_{7.3}\}$ . Note that upon receiving all  $A_{7.2}$  and  $A_{7.3}$  packets  $d_2$  can decode all  $B_{4.2}$  and  $B_{4.3}$  packets. We thus remove  $B_{4.3}$  from the second group since  $B_{4.3}$  is now superseded by  $A_{7.2}$  and  $A_{7.3}$ .

Let  $B_{7.2}^{\text{sum}}$  denote the linear sums in which the  $B_{7.2}$  packets participated during Phase 2. $s_1$ . The difference between  $B_{7.2}$  and  $B_{7.2}^{\text{sum}}$  is that packets in  $B_{7.2}$  are pure uncoded  $\mathbf{W}_2$  packets while each packet in  $B_{7.2}^{\text{sum}}$  is a linear sum of one  $B_{7.2}$  packet and one  $A_4$  packets that was sent during Phase 2. $s_1$  and received by  $d_1$  but not by  $d_2$ , see Line 3 of Phase 2. $s_1$  and (10). We note that upon receiving a linear sum in  $B_{7.2}^{\text{sum}}$ ,  $d_2$  can decode the corresponding  $B_{7.2}$  packet by subtracting the  $A_4$  packet it received during Phase 1. $s_1$ . Also, each linear sum in  $B_{7.2}^{\text{sum}}$  is heard by  $d_1$  during Phase 2. $s_1$ , see (10). As a result, *each linear sum in  $B_{7.2}^{\text{sum}}$  can also be viewed as a  $\mathbf{W}_2$  packet heard by  $d_1$  but not by  $d_2$ .* The second group  $\{B_3, B_{4.1}, A_{7.2}, A_{7.3}\}$  can thus be further enlarged to

<sup>2</sup>The reason that we still count  $A_{4.2}$  and  $A_{4.3}$  even though their reception status are  $\overline{s_2}d_1$  and  $s_2d_1$ , see (11), is as follows. Those  $A_4$  packets were mixed with  $B_7$  packets during Phase 2. $s_1$ . Therefore even though  $d_1$  has received a linear combination of  $A_{4.2}$  (resp.  $A_{4.3}$ ) and some  $B_7$  packets,  $d_1$  still cannot decode the original  $A_{4.2}$  (resp.  $A_{4.3}$ ) packets. Hence  $A_{4.2}$  and  $A_{4.3}$  still belong to the category: heard by  $d_2$  but not by  $d_1$ . The reason why  $A_{7.2}$  is not counted is similar due to the mixture with  $B_4$ .

<sup>3</sup>Detailed definition of the concept of *non-interfering* can be found in [9].

$\{B_3, B_{4.1}, A_{7.2}, A_{7.3}, B_{7.2}^{\text{sum}}\}$ .

The first row of Table I summarizes the packets that are (i) available at  $s_1$  and (ii) can potentially be used for NC. The second row of Table I summarizes the symmetric situation faced by  $s_2$ . One can also see that all  $A_1$ ,  $A_2$ ,  $A_5$ , and  $A_6$  packets have arrived at  $d_1$  during Phase 1. $s_1$ , see (8); and all  $A_{7.1}$  and  $A_{7.3}$  packets can be *decoded* by  $d_1$  during Phase 2. $s_2$ , see (12). The first super-column of Table I thus contains all the  $\mathbf{W}_1$  packets that still need to be sent to  $d_1$ . Symmetrically, the second super-column of Table I also contains all the  $\mathbf{W}_2$  packets that still need to be sent to  $d_2$ .

Before proceeding, we define an extended group  $\overline{A_3} \triangleq A_3 \cup A_{4.1} \cup B_{7.2} \cup B_{7.3} \cup A_{7.2}^{\text{sum}}$ , which contains the packets that are available at  $s_2$  and belong to the first super-column of Table I. Symmetrically, define  $\overline{B_3} \triangleq B_3 \cup B_{4.1} \cup A_{7.2} \cup A_{7.3} \cup B_{7.2}^{\text{sum}}$ . Recall that the main challenge of the problem is that  $s_1$  can only acquire  $\mathbf{W}_2$  packets through weak overhearing  $q < \frac{p}{3-p}$ . Therefore,  $s_1$  generally does not have enough  $\mathbf{W}_2$  packets that can be mixed with the big amount of  $A_3$ ,  $A_{4.1}$  to  $A_{4.4}$  packets it has (since  $s_1$  having all  $\mathbf{W}_1$  packets to begin with). As a result, at least heuristically  *$s_2$  should refrain from using any of the  $\overline{B_3}$  packets and leave all of them to  $s_1$  since the  $\overline{B_3}$  packets are those precious few  $\mathbf{W}_2$  packets that are actually overheard by  $s_1$  and can be mixed with the  $\mathbf{W}_1$  packets at  $s_1$ .* Symmetrically,  $s_1$  should refrain from using any of the  $\overline{A_3}$  packets and leave all of them to  $s_2$ . From the above reasoning,  $s_1$  should mix only  $A_{4.4}$  and  $\overline{B_3}$  packets while  $s_2$  should mix only  $B_{4.4}$  and  $\overline{A_3}$  packets.

Based on the above intuition, we devise the following sub-routines that treat each packet group as a queue.

---



---

#### § MIX $A_{4.4}$ AND $\overline{B_3}$

- 1: Source  $s_1$  transmits a linear sum of two packets: the head-of-the-line (HOTL) packet of  $A_{4.4}$  and the HOTL packet of  $\overline{B_3}$ , the latter can already be a linear sum of multiple packets if the HOTL packet happens to be a  $B_{7.2}^{\text{sum}}$  packet.
  - 2: **if**  $d_1$  receives the packet **then**
  - 3: Let the HOTL packet of  $A_{4.4}$  leave the system.
  - 4: **else if**  $s_2$  receives the packet **then**
  - 5: Let the HOTL packet of  $A_{4.4}$  leave the system. Let the current packet, a linear sum of an  $A_{4.4}$  and a  $\overline{B_3}$  packets, enter the queue  $\overline{A_3}$ .
  - 6: **end if**
  - 7: **if**  $d_2$  receives the packet **then**
  - 8: Let the HOTL packet of  $\overline{B_3}$  leave the system.
  - 9: **end if**
- 
- 

The subroutine “MIX  $B_{4.4}$  AND  $\overline{A_3}$ ” is symmetric to the above subroutine, i.e.,  $s_2$  would transmit a linear sum of a packet in  $B_{4.4}$  and a packet in  $\overline{A_3}$ . We now describe the next two phases.

---



---

#### § PHASE 3

- 1: Phase 3 runs for  $t_{p3}$  time slots, where  $t_{p3} \triangleq \frac{|A_{4.4}| - |B_{4.4}|}{p+q(1-p)}$ .
- 2: For each time slot,  $s_1$  transmits the HOTL packet of  $A_{4.4}$ .
- 3: **if**  $d_1$  receives the packet **then**
- 4: Let the HOTL packet of  $A_{4.4}$  leave the system.

	effectively a $\mathbf{W}_1$ packet heard by $d_2$ but not by $d_1$					effectively a $\mathbf{W}_2$ packet heard by $d_1$ but not by $d_2$					
packets available at $s_1$	$A_3$	$A_{4.1}$	$A_{4.2}$	$A_{4.3}$	$A_{4.4}$		$B_3$	$B_{4.1}$	$A_{7.2}$ and $A_{7.3}$		$B_{7.2}^{\text{sum}}$
packets available at $s_2$	$A_3$	$A_{4.1}$	$B_{7.2}$ and $B_{7.3}$			$A_{7.2}^{\text{sum}}$	$B_3$	$B_{4.1}$	$B_{4.2}$	$B_{4.3}$	$B_{4.4}$

TABLE I  
THE PACKETS AT  $s_1$  AND  $s_2$  THAT CAN POTENTIALLY BE USED FOR NC.

5: **else if**  $s_2$  receives the packet **then**  
6: Let the HOTL packet of  $A_{4.4}$  leave the queue  $A_{4.4}$  and enter another queue  $\overline{A}_3$ .  
7: **end if**

---

§ PHASE 4

1: **while**  $\min(|A_{4.4}|, |B_{4.4}|) > 0$  **do**  
2: **while**  $|\overline{A}_3| > 0$  **do**  
3: Source  $s_2$  runs the subroutine “MIX  $B_{4.4}$  AND  $\overline{A}_3$ ”.  
4: **end while**  
5: **while**  $|\overline{B}_3| > 0$  **do**  
6: Source  $s_1$  runs the subroutine “MIX  $A_{4.4}$  AND  $\overline{B}_3$ ”.  
7: **end while**  
8: **end while**

---

The intuition of Phases 3 and 4 is as follows. We first take a look at the subroutine “MIX  $A_{4.4}$  AND  $\overline{B}_3$ ”. Suppose we send a linear sum of an  $A_{4.4}$  and a  $\overline{B}_3$  packet. When  $d_2$  receives it,  $d_2$  can decode the  $\overline{B}_3$  packet by subtracting the  $A_{4.4}$  packet it received in Phase 1. $s_1$ . Therefore, the  $\overline{B}_3$  packet can leave the system as specified in Line 8 of MIX  $A_{4.4}$  AND  $\overline{B}_3$ . Similarly, when  $d_1$  receives it,  $d_1$  can decode the  $A_{4.4}$  packet since  $d_1$  has, effectively, heard the  $\overline{B}_3$  packet, see our previous discussion. Therefore, the  $A_{4.4}$  packet can leave the system as specified in Line 3 of MIX  $A_{4.4}$  AND  $\overline{B}_3$ . When the packet is heard by  $s_2$  but not by  $d_1$  (Line 4),  $s_2$  now has the knowledge about the linear sum. Therefore, the linear sum can be viewed as in the category “available at  $s_2$  and being a  $\mathbf{W}_1$  packet that is effectively heard by  $d_2$  but not by  $d_1$ .”<sup>4</sup> Hence, we add the linear sum to the queue  $\overline{A}_3$  as in Line 5 of MIX  $A_{4.4}$  AND  $\overline{B}_3$ . The above intuition can be made rigorous through the *packet evolution* arguments in [9].

We now take a look at Phase 4. In Line 3 of Phase 4,  $s_2$  combines the  $B_{4.4}$  and the  $\overline{A}_3$  packets until  $s_2$  uses up all  $\overline{A}_3$  packets (see Line 2). During this process of executing MIX  $B_{4.4}$  AND  $\overline{A}_3$ , we have also created new  $\overline{B}_3$  packets that can be used in Line 6 of Phase 4. After  $s_1$  uses up all  $\overline{B}_3$  packets (see Line 5), new  $\overline{A}_3$  packets have been created during the process. We are now ready to let  $s_2$  execute MIX  $B_{4.4}$  AND  $\overline{A}_3$  again based on the newly generated  $\overline{A}_3$ . The above iteration between  $s_2$  executing MIX  $B_{4.4}$  AND  $\overline{A}_3$  and  $s_1$  executing MIX  $A_{4.4}$  AND  $\overline{B}_3$  continues until one of  $A_{4.4}$  and  $B_{4.4}$  becomes empty, see Line 1 of Phase 4.

We are now ready to discuss the intuition behind Phase 3. One key factor that guarantees the success of Phase 4 is to ensure that the numbers of the  $A_{4.4}$ ,  $B_{4.4}$ ,  $\overline{A}_3$ , and  $\overline{B}_3$  packets are *well-balanced* so that when the iteration of Phase 4 finally stops ( $\min(|A_{4.4}|, |B_{4.4}|) = 0$ ) *all four queues*  $A_{4.4}$ ,  $B_{4.4}$ ,  $\overline{A}_3$ ,

<sup>4</sup>An alternative explanation is as follows. The event  $s_2$  overhearing the sum of an  $A_{4.4}$  and a  $\overline{B}_3$  packet effectively moves one entry of  $A_{4.4}$  from the first row (available only at  $s_1$ ) to the second row (available also at  $s_2$ ).

and  $\overline{B}_3$  are nearly empty. Note that after finishing Phase 2. $s_2$ , we usually have too many  $A_{4.4}$  and too few  $\overline{B}_3$  packets at  $s_1$  since  $R_1 = p - q \geq R_2 = q(2 - p)$ . We thus use Phase 3 to send  $A_{4.4}$  packets alone, which reduces the amount of  $A_{4.4}$  packets without using any  $\overline{B}_3$  packets. One can prove that after Phase 3, the numbers of the  $A_{4.4}$ ,  $B_{4.4}$ ,  $\overline{A}_3$ , and  $\overline{B}_3$  packets are *well-balanced* and we are ready for Phase 4.

What remains to be proven is that for any  $\epsilon > 0$  there exists a sufficiently large  $n$  such that with probability no less than  $(1 - \epsilon)$ , the above 4-phased scheme can finish transmission in  $n(1 + \epsilon)$  time slots without any errors<sup>5</sup> and in the end each  $d_i$  can decode at least  $n(R_i - \epsilon)$  of the desired  $\mathbf{W}_i$  packets. The detailed analysis is omitted due to the lack of space.

#### IV. CONCLUSION

This work has characterized the full capacity region of two symmetric nearby erasure channels with network-wide channel state feedback. This work was supported in parts by NSF grants CCF-0845968 and CNS-0905331.

#### REFERENCES

- [1] A. Dana, R. Gowaikar, R. Palanki, B. Hassibi, and M. Effros, “Capacity of wireless erasure networks,” *IEEE Trans. Inf. Theory*, vol. 52, no. 3, pp. 789–804, March 2006.
- [2] M. Gatzianas, S. Bidokhti, and C. Fragouli, “Feedback-based coding algorithms for broadcast erasure channels with degraded message sets,” in *Proc. 8th Workshop on Network Coding, Theory, & Applications (NetCod)*. Cambridge, USA, June 2012.
- [3] M. Gatzianas, L. Georgiadis, and L. Tassiulas, “Multiuser broadcast erasure channel with feedback — capacity and algorithms,” in *Proc. NetCoop*, 2010.
- [4] L. Georgiadis and L. Tassiulas, “Broadcast erasure channel with feedback — capacity and algorithms,” in *Proc. 5th Workshop on Network Coding, Theory, & Applications (NetCod)*. Lausanne, Switzerland, June 2009, pp. 54–61.
- [5] S. Katti, H. Rahul, W. Hu, D. Katabi, M. Médard, and J. Crowcroft, “XORs in the air: Practical wireless network,” in *Proc. ACM Special Interest Group on Data Commun. (SIGCOMM)*, 2006.
- [6] W. Kuo and C.-C. Wang, “On the capacity of 2-user 1-hop relay erasure networks — the union of feedback, scheduling, opportunistic routing, and network coding,” in *Proc. IEEE Int’l Symp. Inform. Theory*. Saint Petersburg, Russia, August 2011, journal version is currently under preparation.
- [7] X. Lin and N. Shroff, “Utility maximization for communication networks with multi-path routing,” *IEEE Trans. Autom. Control*, vol. 51, no. 5, pp. 766–781, May 2006.
- [8] V. Prabhakaran and P. Viswanath, “Interference channels with source cooperation,” *IEEE Trans. Inf. Theory*, vol. 57, no. 1, pp. 156–186, January 2011.
- [9] C.-C. Wang, “Capacity of 1-to- $K$  broadcast packet erasure channels with channel output feedback,” *IEEE Trans. Inf. Theory*, vol. 58, no. 2, pp. 957–988, February 2012.
- [10] C.-C. Wang and D. Love, “Linear network coding capacity region of 2-receiver MIMO broadcast packet erasure channels with feedback,” in *Proc. IEEE Int’l Symp. Inform. Theory*. Boston, USA, July 2012.
- [11] R. Wu, V. Prabhakaran, and P. Viswanath, “Interference channels with half duplex source cooperation,” in *Proc. IEEE Int’l Symp. Inform. Theory*. Austin, Texas, USA, June 2010, pp. 375–379.

<sup>5</sup>For example, we need to prove that when Phase 2. $s_1$  stops,  $l_4 \leq |A_4|$  with close-to-one probability.